

Treatment outcomes of patients with localized anal squamous cell carcinoma according to HIV infection: systematic review and meta-analysis

Marcos Pedro Guedes Camandaroba¹, Raphael Leonardo Cunha de Araujo^{2,3}, Virgílio Souza e Silva¹, Celso Abdon Lopes de Mello¹, Rachel P. Riechelmann¹

¹Department of Clinical Oncology, A.C. Camargo Cancer Center, Sao Paulo, SP, Brazil; ²Department of Digestive Surgery, Escola Paulista de Medicina, Universidade Federal de São Paulo, São Paulo, SP, Brazil; ³Department of Oncology, Americas Medical Service/Brazil, United Health Group, Sao Paulo, SP, Brazil

Contributions: (I) Conception and design: RP Riechelmann; (II) Administrative support: None; (III) Provision of study materials or patients: MP Camandaroba; (IV) Collection and assembly of data: MP Camandaroba, VS Silva; (V) Data analysis and interpretation: MP Camandaroba, RL Araujo, CA Mello, RP Riechelmann; (VI) Manuscript writing: All authors; (VII) Final approval of manuscript: All authors.

Correspondence to: Prof. Rachel P. Riechelmann, MD, PhD. Department of Clinical Oncology, A.C. Camargo Cancer Center, Rua Antônio Prudente 211, São Paulo, SP, Brazil. Email: rachel.riechelmann@accamargo.org.br.

Background: Definitive chemoradiation (CRT) is the standard treatment for localized squamous cell carcinoma of the anus (SCCA). Because most phase III trials in SCCA have excluded patients with HIV, the evidence on treatment outcomes of these patients is lacking. We performed a systematic review and metaanalysis on the efficacy and toxicity profiles of HIV-positive SCCA patients treated with definitive CRT.

Methods: The systematic search was conducted Embase, Medline, Cochrane Libary, Scopus, Lilacs and Opengrey, from inception until September 2017. Eligible studies were clinical trials, prospective or retrospective cohort studies. The main outcome variables were 3-year disease-free survival (DFS) and overall survival (OS) rates and frequency of grade 3 or 4 (G3/4) treatment-related toxicities, according to HIV status. Meta-analyses using pooled risk ratios were performed for binary outcomes from comparative studies from the antiretroviral therapy (HAART) era with the fixed effects model.

Results: Out of 3,951 studies, 40 were deemed eligible, with a total of 3,720 patients. One third (N=1,298, 34%) were HIV-positive and their median pre CRT CD4 count was 347 µm/L. HIV-positive patients presented higher risk of G3/4 cutaneous toxicities [risk ratio (RR) =1.34; 95% CI, 1.10–1.64; P=0.004; I²=77.7%], worse 3-year DFS rate (RR =1.32; 95% CI, 1.01–1.74; P=0.043; I²=52.19%), and 3-year OS rate (RR =1.77; 95% CI, 1.35–2.32; P<0.001; I²=6%).

Conclusions: Patients with localized SCCA and HIV infection treated with CRT tend to experience higher risk of toxicities and worse DFS and OS rates. Our findings suggest that future trials should be tailored to HIV-positive patients.

Keywords: Anal cancer; human immunodeficiency virus (HIV); toxicity; survival

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Introduction

Squamous cell carcinoma of the anus (SCCA) is an uncommon malignancy that accounts for 2.5% of all digestive tumors (1). The main risk factor for SCCA is chronic infection from the human papilloma virus (HPV), concomitant or not with the human immunodeficiency virus (HIV) infection (2,3). Recent epidemiological studies have demonstrated that there is a 20-fold increase in the

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risk of anal cancer in individuals with HIV infection compared to the general population without HIV (4,5). And AIDS diagnosis was associated with close to a fourfold increased incidence of anal cancer compared with HIV only (6). The anal cancer risk associated with HIV infection is particularly high among men who have sex with men (6). Among these individuals, a low CD4 count, immunosuppression and HPV infection seems most important at early stages in the development of anal cancer (4,6).

The standard treatment for localized anal cancer is based on infusional 5-fluorouracil (5-FU) or capecitabine (7), mitomycin (MMC) or cisplatin, concomitant with external radiation. This provides excellent results with overall local control rates greater than 80% (2,8). However, HIVpositive patients have not been represented in most phase III trials that have defined the standard treatment for localized SCCA. Therefore, the evidence to guide the best treatment for these patients is lacking.

Historically, prior to antiretroviral therapy (HAART), HIV-positive patients had clear worse prognosis and experienced worse toxicities from definitive chemoradiation (CRT) compared to immunocompetent patients (9,10). While HAART has increased the survival of patients with HIV (11,12), studies on treatment outcomes from CRT for SCCA according to HIV status have reported variables results in terms of recurrence and survival (2,13-15). Therefore, we have undertaken a systematic review and meta-analyses about the efficacy and toxicity of CRT among patients with localized SCCA according to HIV status.

Methods

Systematic review of studies that reported clinical outcomes associated with definitive CRT (regimens of infusional 5 FU or capecitabine with or without MMC or cisplatin combined with external radiation) for patients with histologically proven localized SCCA and HIV infection, regardless of the description of HAART use. The definition of HIV infection was serology positivity as reported by studies, with or without the clinical diagnosis of acquired immunodeficiency syndrome.

Eligible studies were clinical trials, prospective or retrospective cohort studies, without any restrictions on language. We excluded case reports, review articles, articles on patients with *in situ* anal carcinoma or dysplasia.

Search strategy

The search for eligible studies was performed in Embase, Medline, Cochrane Libary, Scopus, Lilacs and Opengrey databases, all from inception until September 20, 2017. In Embase, the search words were "anus tumor" OR "anus cancer" AND "human immunodeficiency virus infection". In Medline we used the MeSH terms "anus neoplasm" AND "HIV". In Cochrane Library, Scopus, Lilacs and Opengrey database we used words "anus neoplasm" OR "anus cancer" AND "HIV". We also sought the reference lists of selected articles to improve the search strategy.

Articles with less than ten patients as well as those that included patients prior to the HAART era (before 1996 or that stated so) were included in the systematic review but excluded from all pooled analyses. When such information was not provided, we considered the studies of the HAART era when they enrolled patients from 1996 (onset of HAART worldwide).

Outcome measures

To indirectly compare our results with the landmark phase III trials of CRT in localized SCCA and because most recurrence occur within 2 to 3 years from definitive chemoradiation, we selected the 3-year disease-free survival (DFS) rate, according to HIV status, as the primary endpoint. Secondary endpoints were 3- and 5-year overall survival (OS) rates, 3-year colostomy free survival rate, complete response rate at 6 months and rates of G3/4 toxicities; we limited our analysis of adverse events to G3/4 types because we assumed this information is more reliable, given that underreporting and/or difficulty in determining the relationship of G1/2 toxicities are inherent to retrospective studies.

Data collection

Two investigators (MPGC and RPR) developed the search strategy and defined the eligible studies. From each study, two investigators (MPGC and VSS) independently collected clinical information, clinical stage, type of study (comparative *vs.* non-comparative; prospective or retrospective) and treatment outcomes, according to HIV status. They compared their data to control for errors and a third investigator (RPR) was consulted in case of discrepancies. The variables were defined *a priori* through a dictionary of word meaning.

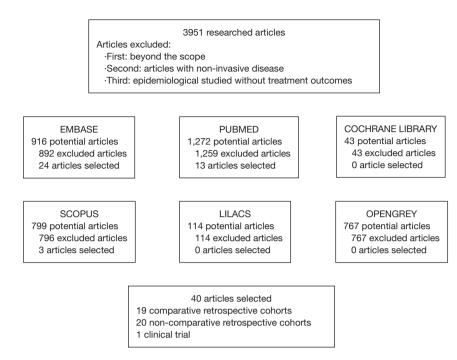


Figure 1 Flow chart of the search for eligible studies.

This systematic review was in accordance with the Preferred Reporting Items for Systematic Review and Meta-Analyses (PRISMA) statement (16).

Statistical analysis

Descriptive statistics were used to summarize the characteristics of eligible studies. Meta-analyses using pooled risk ratios were performed for binary outcomes from comparative studies with the fixed effect model. Analyses utilizing random effects were also performed and can be found as supplemental materials. To evaluate the presence of publication bias in comparative studies, we have used the Begg's funnel plot approach (17,18). For non-comparative studies, funnel plots for proportion were used to identify potential presence of publication bias using a Web-based software tool developed by APHO (Association of Public Health Observatories, www.apho.org.uk). Metaprop analysis based on pooled estimates of proportions with corresponding 95% confidence intervals (95% CI) were calculated on the base of the Freeman-Tukey double arcsine transformation for non-comparative data (19). All analyses were performed by STATA 13 statistical software (StataCorp, College Station, TX, USA). A two-tailed P value of less than 0.05 was considered statistically significant. An I² value of less than 50%, was considered significant, i.e., low probability of heterogeneity of result across studies.

Results

A total of 3,951 articles were retrieved from our initial search. As demonstrated by *Figure 1*, 40 studies were deemed eligible, with a total of 3,720 patients. The characteristics of studies are summarized in *Table 1*. Twenty studies were retrospective cohorts comparing groups of patients with and without HIV infection, 19 were retrospective studies of only HIV-positive patients; one was a phase II clinical trial of cetuximab combined with chemoradiation that included HIV-positive patients. The oldest article was from 1991 and the most recent one was from 2017, with 30 reporting the inclusion of patients who received HAART.

Nearly one third of patients (N=1,298; 34%) were HIVpositive. The median age was 44 years in the HIV-positive group vs. 62 years in the HIV-negative group. In the HIVpositive group, 93% were male and their median CD4 count prior to CRT was 347 µm/L. Seven articles described ethnicity: 74% were conducted in Caucasians (range, 23– 89%), 33% included African-descendants (range, 8–77%). Clinical stage was described in 24 articles, with clinically positive lymph nodes being present in similar proportions between the HIV groups. Treatment regimens employed were: single agent 5 FU, 5 FU plus MMC, 5 FU plus

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| Table 1 Characteristics of eligible articles |
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|--|

| Variables | HIV+ | HIV– |
|---|---|--|
| Number of patients | 1,298 (34%) | 2,422 (66%) |
| Clinical trial | 1 | 1 |
| Non-comparative retrospective cohort | 19 | _ |
| Comparative retrospective cohort | 20 | 20 |
| Proportion of male | 1,207 (93%) | 1,065 (44%) |
| CD4 median | 347 (range, 541–209 μm/L) | |
| Proportion of clinically positive lymph nodes | 389 (30%) (range, 0–60) (1,352 patients/24 articles analyzed) | 678 (28%) (range, 3–39) (1,004 patients/11 articles analyzed) |
| Treatment | 40 articles analyzed (2 articles without data) | |
| Radiotherapy (RT) | RT external conventional dose range from 30 to 70 Gy (24 articles); RT conventional plus (boost dose range from 5.4 to 10.8 Gy) (10 articles); IMRT dose range from 45 to 54 Gy (2 articles); IMRT or RT 3D (dose range 45 to 50.4 Gy) (2 articles) | |
| Single agent infusional 5 FU | 750 to 1,400 mg/m ² ; schedule -4 to 5 days in the first and last week of RT (2 articles) | |
| 5 FU plus cisplatin | Cisplatin 75 to 100 mg/m ² D1 of first and last week of RT (2 articles) | |
| 5 FU plus MMC | Mitomycin 10 to 15 mg/m ² on D1 of first and last week of RT (21 articles) | |
| 5 FU/capecitabine or MMC/cisplatin | Capecitabine 825 mg/m ² twice a day on each day of radiotherapy (2 articles) | |
| Cetuximab and 5 FU cisplatin | Cetuximab 250 mg/m ² during RT (1 articles analyzed) | |
| 5 FU or MMC/cisplatin | (10 articles) | |

cisplatin and weekly cetuximab (Table 1).

Treatment outcomes: toxicities

Most studies reported dose reductions of chemotherapy and/or radiotherapy. Dose reductions were numerically higher in the HIV-positive patients in 7 of 10 articles who analyzed this factor. The proportion of dose-reductions ranged from 77% to 22% in the HIV-positive group and from 54% to 7% in the HIV-negative group.

The most prevalent G3/4 toxicities in HIV-positive patients were cutaneous (mostly radiation-induced dermatitis), hematological and gastrointestinal. The rates of G3/4 cutaneous toxicities ranged from 77% to 8% in HIV-positive patients and from 54% to 3% in the HIV-negative group. The meta-analysis for this G3/4 toxicity was performed only in comparative studies (N=8), reporting data from 876 patients (*Figure 2*) and showing a significantly higher risk in the HIV-positive group, with a risk ratio (RR) of 1.34 (95% CI, 1.10–1.64; P=0.004; I^2 =77.7%). Random effect analysis is shown in *Figure S1*.

The definition of hematological toxicity varied across

studies and only leukopenia and thrombocytopenia were analyzed here (more available data). The meta-analysis for G3/4 leukopenia was performed only in comparative studies (N=3), reporting data from 470 patients (*Figure 3*). G3/4 leukopenia tended to be higher in the HIV-positive group with a RR of 1.26 (95% CI, 0.95–1.68; P=0.115; I²=0%). Random effect analysis is shown in *Figure S2*.

In the HIV-positive group, G3/4 thrombocytopenia was seen more frequently as compared to HIV-negative group with a trend for statistical significance (RR =1.62; 95% CI, 0.97–2.70, P=0.064; I²=17.13%) (*Figure 3*). Random effect analysis is shown in *Figure S3*. The meta-analysis for G3/4 thrombocytopenia was performed only in comparative studies (N=4), reporting data from 561 patients (*Figure 4*).

The rate of G3/4 gastrointestinal toxicities ranged from 31% to 2% in HIV-positive patients while in HIV-negative patients, it ranged from 17% to 3%. The meta-analysis for G3/4 gastrointestinal toxicities was performed only in comparative studies (N=5), reporting data from 650 patients (*Figure 5*). There was no difference between the groups, with a RR of 0.93 (95% CI, 0.56–1.56; P=0.786; I²=48.1%). Random effect analysis is shown in *Figure S4*.

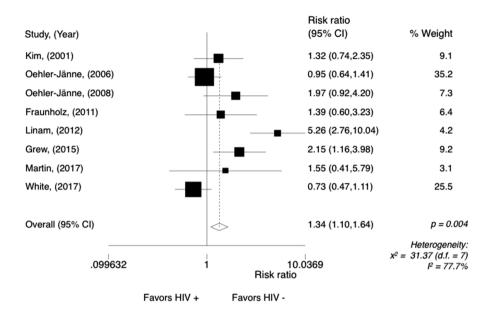


Figure 2 Forest plot of G3/4 cutaneous toxicities in comparative studies.

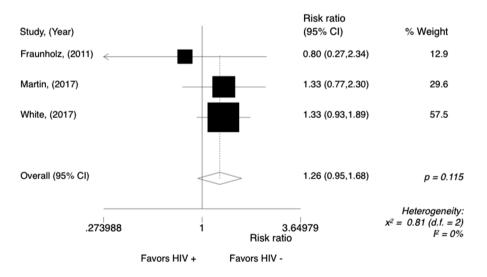


Figure 3 Forest plot of leukopenia G3/4 toxicities in comparative studies.

Treatment outcomes: survival endpoints

The 3-year DFS rate, our primary endpoint, ranged from 94% to 33% among HIV-positive patients, and from 91% to 67% among HIV-negative patients. In the noncomparative studies (*Figure 6*), the pooled 3-year DFS rate for HIV-positive patients was 75% (95% CI, 64–84%; P=0.12; I^2 =45.24%). The meta-analysis for the 3-year DFS rate performed in comparative studies (N=7) reports data from 686 patients. Analysis of the survival between the groups (*Figure* 7) showed that HIV-positive patients were significantly less likely to be DFS at 3 years with a RR 1.32 (95% CI, 1.01–1.74; P=0.043; I²=52.19%). Random effect analysis is shown in *Figure S5*.

The OS rate at 3 years in the HIV-positive group ranged from 85% to 25% and in the HIV-negative group, from 92% to 58%. The meta-analysis for overall 3-year OS rate

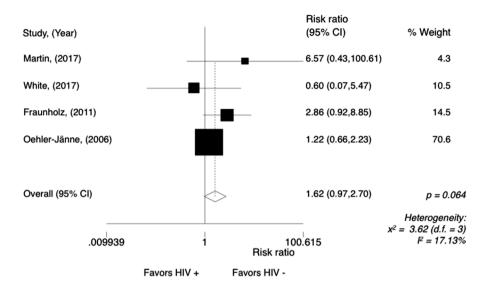


Figure 4 Forest plot of G3/4 thrombocytopenia in comparative studies.

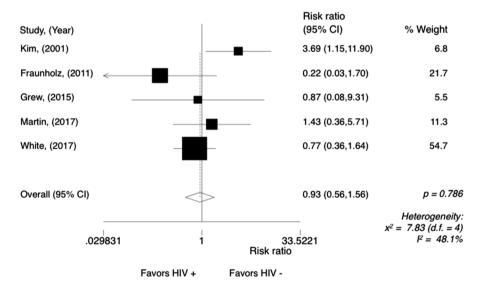


Figure 5 Forest plot of gastrointestinal G3/4 toxicities in comparative studies.

was performed in comparative studies (N=8), reporting data from 801 patients. The analysis (*Figure 8*) showed a worse 3-year OS rate for HIV-positive patients, with a RR of 1.77 (95% CI, 1.35–2.32; P<0.001; I²=6%). Random effect analysis is shown in *Figure S6*.

The overall 5-year survival rate ranged from 88% to 20% in the HIV-positive patients and from 84% to 65% in the HIV-negative patients. The meta-analysis for overall 5-year survival rate was performed in comparative studies (N=6), reporting data from 637 patients (*Figure 9*). The

5-year OS rate was significantly worse for HIV-positive patients, with a RR of 1.39 (95% CI, 1.04–1.85, P=0.024; I^2 =8.25%). Random effect analysis is shown in *Figure S7*.

Nine studies evaluated cancer-specific survival rates at 3 and 5 years. Martin *et al.* [2017] showed a statistically significant different in favor of HIV-negative patients, with a 5-year specific cancer survival of 80.5% in HIV-positive *vs.* 93.8 in HIV-negative group (P=0.029) (2). Ten studies reported on 5-year DFS, and it ranged from 74% to 35% in the HIV-positive group and from 90% to 74% in the

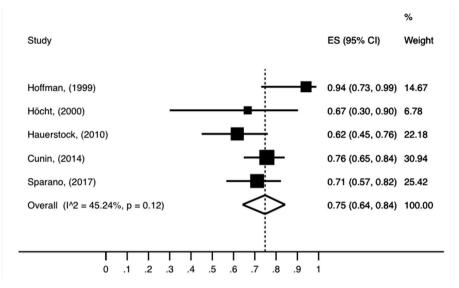


Figure 6 Pooled analysis of 3-year disease survival rate in non- comparative studies.

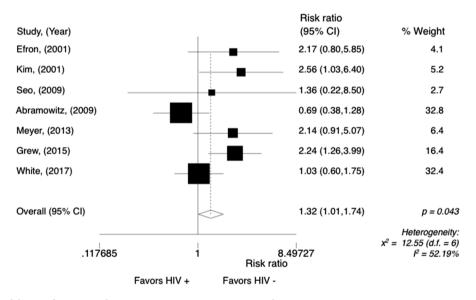


Figure 7 Forest plot of disease free survival rate at 3 years in comparative studies.

HIV-negative group. Oehler-Jänne *et al.* [2008] observed that the 5-year DFS survival rate was significantly higher for HIV-negative patients: 74% (95% CI, 64–85%) *vs.* 35% (95% CI, 4–66%; P=0.03) (20). Thirteen studies evaluated the rate of distance relapses at 5 and 3 years. In the HIV-positive group, distance relapse at 5 and 3 years ranged from none up to 14.3% and from none to 43%, respectively. In the HIV-negative group, it ranged from 3% to 16% and 6% to 17%, respectively. Ten studies evaluated local recurrence rates. The 5-year local recurrence rate in HIV-

positive patients ranged from 62% to 11% and in HIV patients, from 22% to 0. Oehler-Jänne *et al.* [2006] showed a significant lower local recurrence rate at 5 years for the HIV-negative group, 0 vs. 30%, (P=0.03) (21).

In 10 studies the clinical complete response after 6 months was seen in 60% to 100% of HIV-positive patients and in 75% to 100% of HIV-negative patients. In 12 studies, the response rate was evaluated after 2 months of treatment, with complete responses seen in 50% to 85% for HIV-positive and in 41% to 93% for HIV-negative patients.

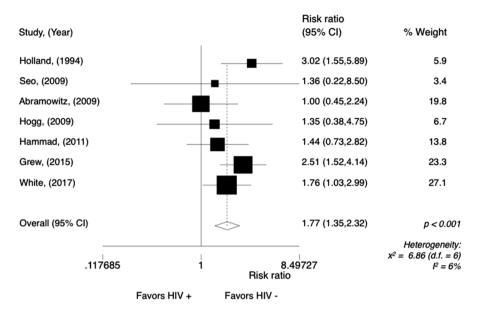


Figure 8 Forest plot of 3-year overall survival rate in comparative studies.

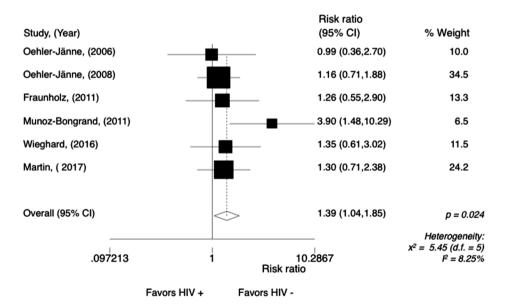


Figure 9 Forest plot of 5-year overall survival rate in comparative studies.

Vatra *et al.* [2002] observed higher complete response rate in the HIV-negative group (88% *vs.* 50%, P>0.05) (22).

The pooled analysis of colostomy-free survival rate at 3 years (*Figure 10*), which was performed in non-comparative studies, with a total of 793 patients, was 71% (95% CI, 0.60–0.80; P<0.01; I^2 =63.70%).

Regarding assessment of potential publication bias neither comparative nor non-comparative suggested

publication bias for 3-year DFS or OS rates, as depicted in (*Figures 11,12*), respectively.

Discussion

This comprehensive systematic review and meta-analysis of uncontrolled studies from the HAART era shows that HIV-positive patients with localized SCCA treated with

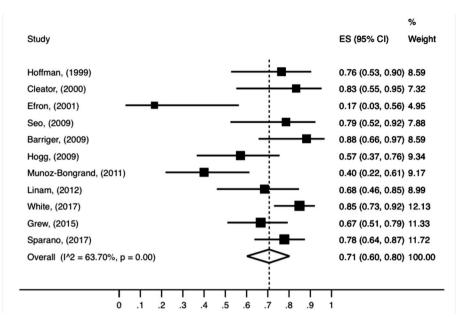


Figure 10 Forest plot of non-comparative studies of colostomy free survival 3-year analysis.

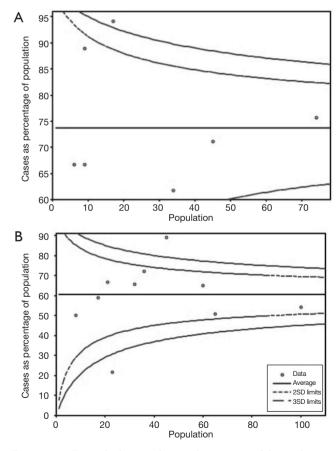


Figure 11 Funnel plots with pseudo 95% confidence limits. (A) 3-year disease free survival; (B) 3-year overall survival.

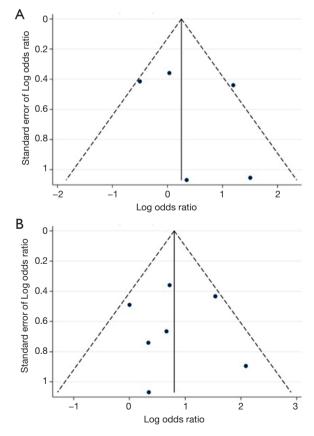


Figure 12 Funnel plots for proportion in non-comparative studies. (A) Represents 3-year disease free survival; (B) represents 3-year overall survival.

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chemoradiation experienced significantly worse 3-year DFS rate, 3- and 5-year OS rates, and enhanced risk of severe cutaneous toxicity, leukopenia and thrombocytopenia.

The exact reasons why HIV-positive patients with SCCA have worse treatment outcomes are unknown. We speculate that HIV-positive patients have lower bone marrow reserve (23) as a result of HIV infection, antiretroviral therapies (24,25) and myelosuppressive prophylactic antibiotics, e.g., sulfamethoxazole/trimethoprim, what contributes to more pronounced myelosuppression from chemotherapy. This consequently leads to more dosereductions and treatment interruptions and ultimately less dose-intensity and reduced efficacy from CRT. Indeed, despite the variability in radiation techniques across time and studies, different methods to quantify and classify toxicities, and that most patients included in the pooled analyses presented CD4 count above 200 U/L, we observed that HIV-positive individuals were more likely to develop G3/4 leukopenia and thrombocytopenia. Unfortunately, the length and extent of myelosuppression could not be extracted from studies.

We also observed higher risk of dermatological toxicities (mostly represented by radiation-induced dermatitis) among HIV-positive patients (26). A possible explanation is that protease inhibitors used in HAART can enhance radio sensitivity, since they are cell-cycle inhibitors that could contribute to radiation-induced apoptosis (2,27,28).

The inferior OS rates demonstrated in the HIV-positive group could have been confounded by deaths from AIDSrelated causes. Unfortunately, only two studies evaluated cancer-specific survival in HIV populations to properly tease out causes of deaths in these patients (29). However, HIV infection-related deaths do not solely explain the inferior 3-year DFS rate observed in this group. Actually, local and distal recurrence rates for SCCA HIV-positive patients were numerically higher. On the other hand, our pooled analyses of rates of 3-year DFS and 3-year colostomy-free survival in non-comparative studies were 75%, and 71%, respectively, which are quite similar to that reported by the recent ACT-II phase III trial, especially considering that both ACT-II trial and our meta-analysis included about 30% of patients with clinically node-positive SCCA. However, despite the similarity of our above findings with those described in the ACT-II phase III trial, indirect comparisons of studies' results should not be made. Higher rates of local recurrence could also be consequent to persistent HPV infection in HIV-positive patients (30-32). In this regard, the effects of the HPV vaccination on the incidence and cure rates of SCCA in HIV-positive patients are eagerly awaited.

The interaction of the microenvironment and tumor has gained great interest recently. Specifically, the manipulation of the immune system by immune checkpoint inhibitors has changed the natural history of many neoplasms (33,34). Recently, Guihot et al. [2007] reported the case of a patient with metastatic non-small cell lung cancer and chronic HIV infection treated with nivolumab, (an anti-PDL1 antibody) (35). The patient presented a deep and sustained reduction in the HIV reservoir (35). The mechanism under this phenomenon was, in part, due to restoration of healthy and active HIV-specific CD8 T lymphocytes (34,35). Interestingly a phase II trial with nivolumab in 39 patients with chemo-refractory metastatic anal cancer demonstrated that nine patient (24%) achieved objective responses, with two being complete responses (36). Median progression-free survival was 4.1 months and median OS was 11.5 months. More and more immunotherapy will be part of the treatment of patients with cancer and the triplet of anal cancer, HIV and immune response is a fascinating research area to be explored. Despite being rare, the biology of SCCA in the presence of HIV infection should be better understood to revert the worse prognosis seen after convention CRT and novel agents, especially immunotherapy, should be also evaluated in the treatment of localized disease.

Limitations of our study should be noted. Our data were extracted from publications what prevented us from running analyses of time-to-event endpoints, subgroups based on type radiotherapy technique, chemotherapy regimen, performing adjusted analyses or exploring more detailed information on adverse events. Additionally, the types and doses of chemotherapy and radiotherapy varied across studies, as well as the rates and criteria to recommend reduction or delays in treatment or to grade toxicities. Those characteristics could justify the heterogeneity detected by some analyses and the large ranges of certain frequencies. An important issue to be pointed out is the overall poor quality of the studies included, which are likely associated with selection bias (inherent to retrospective studies) and observational bias (investigators may have monitored HIV-positive patients more closely and/ or may have more likely attributed toxicity relationship to the treatment than to the cancer, overestimating the rate of adverse events in this group). Although potential publications bias could not be demonstrated by the funnel plots, it is not possible to grant that they were completely absent, particularly when working with retrospective published data. We decided not to perform a formal quality assessment of the eligible studies because this would be redundant. Yet we still considered appropriate to perform the pooled analyses because, despite all limitations; and to our knowledge this is the best evidence on treatment outcomes for HIV-positive patients with localized SCCA.

Although HIV infection is associated with worse prognosis among patients with SCCA, no distinction concerning treatment and HIV status is addressed by most of current guidelines (37). Based on our findings we suggest that, for now, HIV-positive patients with localized SCCA be treated with CRT using less toxic radiotherapy, such as Intensity-Modulated Radiation Therapy, and chemotherapy with infusional 5 FU/capecitabine and cisplatin, as per the ACT-II phase III trial (38). In the ACT-II trial the efficacy of the cisplatin-based regimen was similar to the Nigro regimen, but cisplatin offers less myelosuppression than MMC (the rate of G3/4 was 26% vs. 16%, respectively). Given that most studies included in the present analyses used MMC and conventional radiotherapy techniques, it is possible that less toxicity is seen in routine practice as more patients are being treated with cisplatin and IMRT because of the worldwide shortage of MMC. Therefore, future observational studies should be conducted to quantify the toxicities associated with cisplatin-based CRT in HIV-positive SCCA patients. Another important recommendation is to have an HIV medical specialist involved in the care of these patients, particularly with respect to the decision on the type of HAART and accompanying prophylactic antibiotics, discussing whether less myelosupressive agents or those with less potential for drug-drug interactions can be used.

Conclusions

In this systematic review and meta-analyses, we have demonstrated that HIV-positive patients with localized SCCA under HAART and who received definitive chemoradiation presented higher risk of severe hematological and cutaneous adverse events and inferior DFS and OS rates in comparison with HIV-negative individuals. Despite the limitations of our study, it represents a good quality source of evidence to set the foundation for epidemiological and interventional future studies on HIV-positive patients with localized SCCA.

Acknowledgements

None.

Footnote

Conflicts of Interest: The authors have no conflicts of interest to declare.

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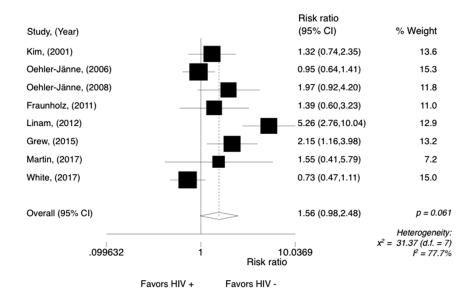


Figure S1 Random effect analysis G3/4 cutaneous toxicities.

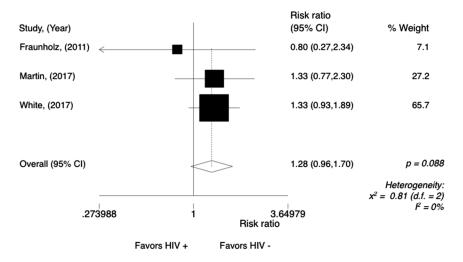


Figure S2 Random effect analysis G3/4 leukopenia.

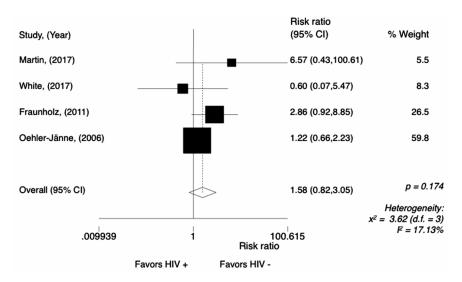
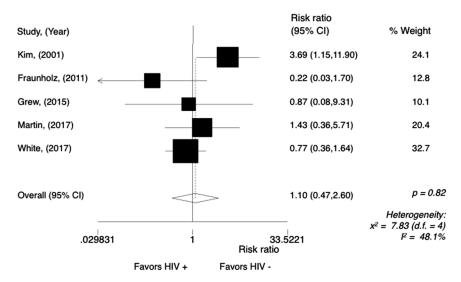
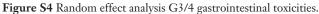
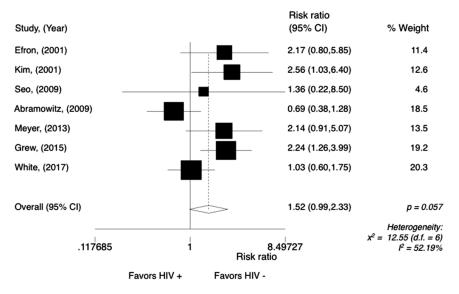


Figure S3 Thrombocitopenia—randomic effect.









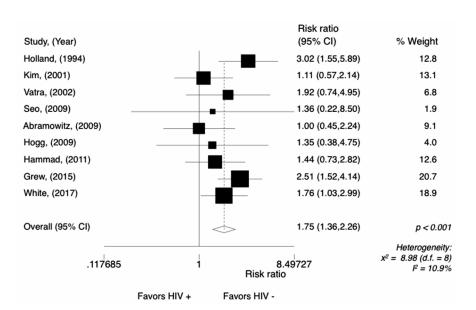


Figure S6 Random effect analysis 3-year overall survival.

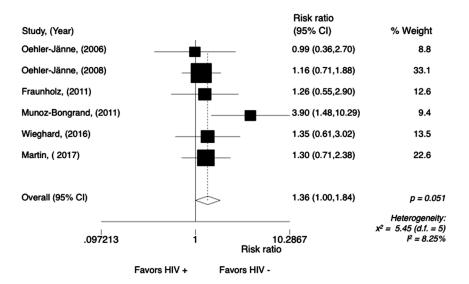


Figure S7 Random effect analysis 5-year overall survival.