

# Management of liver metastases from gastrointestinal stromal tumors: where do we stand?

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**Abstract:** The recommended management of liver metastases (LM) from gastrointestinal stromal tumors (GISTs) disease consists of perioperative administration of tyrosine kinase inhibitors (TKIs) and surgical resection. The aim of our study was to investigate the efficacy of this therapeutic approach. Studies reporting outcomes for patients underwent liver resection from metastatic gastrointestinal stromal tumor (GIST) were overviewed. Eleven studies were included, which enrolled 240 patients with liver metastasis from GISTs. A median overall survival (OS) interval of 41.8 months was calculated while a median disease free interval of 17.9 [17–43] months following resection was also measured. Recurrences were recorded in 63 patients. Prognostic factors identified by the studies included surgical resection, R0 resection, age <55 years, clinical response to TKIs, pre- and postoperative administration of TKIs. The included studies highlight the importance of complete resection and the timing of resection, as well underline the importance of pre- and post-operative administration of TKIs.

**Keywords:** Liver; metastasis; gastrointestinal stromal tumor (GIST); hepatectomy; imatinib

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## Introduction

Gastrointestinal stromal tumors (GISTs) constitute the most frequently encountered mesenchymal tumors of the gastrointestinal tract, accounting for 1–3% of all its malignancies (1,2). Although the most common primary site of GISTs is the stomach and proximal small intestine, they can be found in anywhere along the GI tract (1). It is estimated that 25% of patients with newly diagnosed GISTs present with synchronous metastatic disease, usually in the liver and peritoneum (3). Other locations include the lungs and bones (4).

The management of metastatic GIST disease has evolved dramatically during the last decade as a result of

the introduction of tyrosine kinase inhibitors (TKIs) (5). Response to traditionally used chemotherapeutic agents for metastatic GIST lesions until the 00’s was poor. On the contrary, the initial response to imatinib has been demonstrated to be as high as 80% (6). Survival rates before TKI did not exceed 16 months. Post introduction of imatinib, patients enjoy a remarkable overall survival (OS) of approximately 5 years (7). Imatinib has become the base therapy for metastatic GISTs. Indications for surgical resection as recommended by the National Comprehensive Cancer Network (NCCN) guidelines include limited disease, progression refractory to TKI, and locally advanced or previously unresectable tumors that manifest favorable response to neo adjuvant therapy with TKI (8).

The timing, sequence, and effectiveness of imatinib administration and surgical resection have been the subject of debate. In the absence of randomized controlled trials, recommendations and current strategies are based on small volume studies. The aim of our review was to evaluate currently available information on long-term results following resection of liver metastases (LM) from GISTs.

## Methods

### Study design

Our systematic review followed PRISMA guidelines (9). All appropriate observational studies (prospective and retrospective) addressing postoperative outcomes in patients with liver resection from metastatic GIST were included in the systematic review (*Figure 1*). Case reports, reviews and animal studies were excluded from analysis and tabulation. Nikolaos Machairas, Anastasia Prodromidou and Ioannis D. Kostakis independently searched the literature, excluded overlapping data, and tabulated the selected indices in structured forms. Consensus of all authors resolved potential discordances in methodology, selection of articles, and statistical analysis.

### Search strategy and data collection

We searched for articles published up to October 2016 using Medline [1966–2016], Scopus [2004–2016], and Google Scholar [2004–2016] databases with the references included in the full text articles retrieved. The following key words were used for the search: “liver metastasis”, “gastrointestinal stromal tumors”, “metastasectomy”, “liver resection”, “metastatic GIST”, “liver surgery”. A minimum number of search keywords were utilized in an attempt to assess an eligible number that could be easily searched while simultaneously minimizing the potential loss of articles. Those articles that fulfilled or were deemed to fulfill the inclusion criteria were retrieved. The PRISMA flow diagram schematically presents the stages of article selection (*Figure 1*).

Data on patient characteristics included age, primary tumor location, single or multiple LM, preoperative and/or postoperative TKI administration, R0 resection, synchronous and metachronous metastases, interval from primary tumor diagnosis to detection of liver metastasis, and CD117 c-kit positivity.

Survival rates were evaluated as follows: 1-, 3-, 5-year OS, 1-, 3-, 5-year disease free survival, as well as disease free

survival (DFS) and OS intervals. Mortality and morbidity following surgery as well as recurrence rates were appraised. Finally, we examined potential predictors of survival after liver resection.

### Definitions

Postoperative mortality was defined as the overall number of recorded deaths within 30 days following surgery. The terms DFS and recurrence-free survival (RFS) were considered as identical.

## Results

A high heterogeneity in the selection of populations, study characteristics, and discrepancies in the interpretation of statistical analyses of individual studies precluded a meta-analysis of the results. A meticulous systematic review was therefore conducted.

### Included and excluded studies

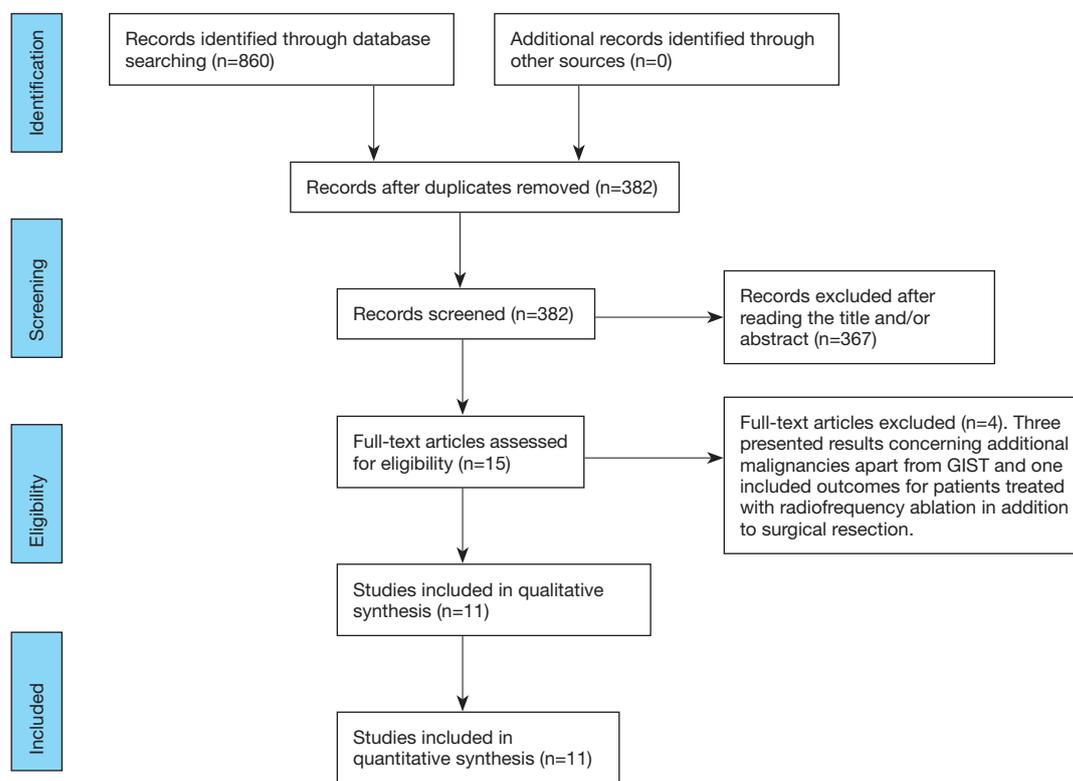
Four studies were excluded from our analysis (10–13). Three of them reported results on additional malignancies and did not fulfill our inclusion criteria (10,11,13). The fourth study in addition to including LM from various sarcomas also encompassed radiofrequency ablation as a therapeutic strategy (12).

Eleven studies comprising 240 patients diagnosed with LM from GISTs originating at various locations were included in the present systematic review (14–24). The analyzed indices were structured in three tables as follows: patient characteristics (*Table 1*), main outcomes (*Table 2*), and potential predictors of postoperative outcomes (*Table 3*).

### Characteristics of included studies and potential bias

Seesing *et al.* retrospectively described 48 patients who underwent LM resection from GIST (14). Patients lost to follow up were excluded. TKI therapy was administered to thirty patients preoperatively (200–800 mg daily of imatinib, or sunitinib as a second-line treatment) and to 36 postoperatively. Twenty-seven underwent R0 resections.

Cananzi *et al.* included 11 patients with metastatic GIST to the liver who underwent hepatic resections following neoadjuvant TKI therapy (imatinib) in a retrospective study (22). Patients were preoperatively divided into two groups: the ‘progression group’ (where



**Figure 1** Search flow diagram. GIST, gastrointestinal stromal tumor.

cytoreductive surgery was performed) and the ‘response to TKI group’ (where surgery with curative intent was undertaken). Two individuals did not receive therapy with TKI. R0 resections were reported in seven cases. Survival rates as well as the association between R0 resection and postoperative deaths were recorded.

Brudvik *et al.* reported results from 146 patients with liver metastasis after GIST or sarcoma (15). In the present review we selectively evaluated 49 patients with GIST LM included in the above mentioned study. A total of 39 received preoperative TKI and 41 postoperative TKI. Apart from reporting OS, relapse-free survival outcomes, and recurrence rates, a subgroup analysis on adjuvant imatinib and relapse free survival was also performed. Univariate analysis revealed prognostic factors of survival.

Vassos *et al.* encompassed 12 patients diagnosed with hepatic metastases from GIST (16). Patients diagnosed with liver failure were excluded. Liver resection was performed in four patients, all of which received pre-and postoperative TKI therapy. R0 resection was achieved in all cases. Survival outcomes and recurrence rates were separately recorded for patients undergo surgery.

Cheung *et al.* evaluated postoperative survival outcomes in 10 patients with GIST LM in a retrospective study (17). Extrahepatic metastases, technically unresectable lesions, liver cirrhosis and/or poor functional hepatic reserve with potential residual liver volume <30% represented exclusion criteria. Three of the 10 patients received preoperative TKI treatment with imatinib; 70% underwent R0 resection.

Turley *et al.* included 39 patients who underwent GIST liver metastasectomy in a retrospective study (18). Patients with cirrhosis and abnormal liver function tests were excluded. TKI was administered as neoadjuvant therapy prior to surgery in 23 patients; 19 of them were treated with imatinib for a median interval of 18 months; 4 received sunitinib for a median of 8.5 months. Postoperatively, imatinib was administered in 27 patients and sunitinib in 6 (due to imatinib resistance). Uni- and multi-variate analyses were conducted to identify predictive factors of survival and to clarify the association between TKI timing and resection.

de la Fuente *et al.* included 59 patients in a retrospective comparative study (19). A total of 43 patients with hepatic metastases were compared to 16 with synchronous hepatic

**Table 1** Patient characteristics

Year; author	Patient (n)	Age	Primary tumor	Meta no (patients)	Pre-op, TKI	Post-op, TKI	R0 resection	Synchronous; metachronous	Primary diagnosis/ resection and liver metastasectomy interval	CD117/ c-kit (+)
2016; Seesing	48	59 [35–81]	Gastric 24; duodenum 3; small intestine 15; rectum 4; other 2	Single 26; multiple 22	30/48, imatinib; 14/30, 2nd-line sunitinib	36/48	27/48	20/48; 27/48; 1 unknown	N/A	37/48
2015; Brudvik	49	55 [35–79]	Gastric 14; small intestine 20; mesentery 13; colon/rectum 2	2 [1–9] (median)	39/49	41/49	47/49	N/A	N/A	N/A
2015; Vassos	4	N/A	N/A	N/A	4/4	4/4	4/4	1/4; 3/4	56.5 [38–65]	4/4
2014; Cananzi	11	51 [43–71]	Gastric 5; non-gastric 6	Single 4; multiple 7	11/11	9/11	7/11	3/11; 8/11	9 [0–93]	N/A
2013; Cheung	10	61 [42–74]	Gastric 8; intestine 2	Single 6; multiple 4	3/10	N/A	7/10	N/A	38.2 [11.5–119.3]	8/10
2012; Turley	39	60.5 [51.5–73.8]	Gastric 19; duodenum 3; jejunum/ileum 13; colon 1; unknown 5	Single 20; multiple 19	23/39	30/39	36/39	6/39; 30/39	25 [11.1–49.5]	32/33
2012; de la Fuente	34	65	Stomach 20/43; duodenum 7/43; jejunum 5/43; unknown 11/43	N/A	14/34 (imatinib 8; imatinib + sunitinib malate 6)	N/A	20/34	N/A	N/A	N/A
2010; Xia	19	53 [31–68]	Gastric 11; small intestine 5; colon/rectum 1; peritoneal and pelvic cavity 2	Single 7; multiple 12	19/19 imatinib	19/19 imatinib	N/A	N/A	N/A	18/19
2008; Maehara	4	N/A	Gastric 4/4	N/A	N/A	N/A	N/A	3/4; 1/4	N/A	N/A
2007; Gomez	12 (1 cytoreductive surgery; 11 surgical resection)	62 [32–78]	Gastric 5; jejunum 4; colon 1; peritoneum 1; pancreas 1	Single 1; multiple 11	4/12 imatinib	8/12 after detection of recurrence	N/A	1/12; 11/12	18 [5–168]	12/12
2003; Shima	10	61 [34–77]	Gastric 3; duodenum 4; small intestine 2; rectum 1	Single 3; multiple 7	N/A	N/A	N/A	5/10; 5/10	46 [6–93]	10/10

TKI, tyrosine kinase inhibitor; +, presence of expression; N/A, not available.

**Table 2** Main postoperative outcomes for patients who underwent liver resection for metastatic GIST

Year; author	Post-op mortality	Post-op complications	1-year OS	3-year OS	5-year OS	1-year DFS	3-year DFS	5-year DFS	Recurrence rates	Disease free interval (months)	OS (months) (median)	Median follow up (months)
2016; Seeing	0	15	45/48	38/48	37/48	45/48	32/48	28/48	N/A	28 [1–118]	N/A	27 [1–146]
2015; Brudvik	N/A	N/A	N/A	36/49	5/9	N/A	19/49	18/49	N/A	17.8	40.6	N/A
2015; Vassos	N/A	1/4	N/A	3/4	N/A	N/A	N/A	N/A	2/4	N/A	60 [38–88]	52 [38–88]
2014; Cananzi	1/11	3/11	9/11	N/A	N/A	10/11	N/A	N/A	4/11	43	N/A	26 [1–74]
2013; Cheung	0/10	0/10	10/10	8/10	5/10	7/10	4/10	1/10	7/10	N/A	N/A	N/A
2012; Turley	1/39	13/39; 4/39 severe	38/39	26/39	N/A	25/39	10/39	N/A	23/39	18	60	39.7 [0.8–120.6]
2012; de la Fuente	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	16/43	N/A	40.5	29.9
2010; Xia	N/A	4/19	19/19	17/19	N/A	N/A	N/A	N/A	N/A	N/A	32.84 (mean)	N/A
2008; Maehara	1/4	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	64.8 (mean)	N/A
2007; Gomez	1/12	6/12	N/A	N/A	10/11	N/A	N/A	1/11	8/12	17 [3–72]	43	43 [3–72]
2003; Shima	1/10	1/10	N/A	N/A	N/A	N/A	N/A	1/10	3/10	N/A	39 [1–96]	N/A

GIST, gastrointestinal stromal tumor; OS, overall survival; DFS, disease-free survival; N/A, not available.

Table 3 Predictors of postoperative outcome

Year; author	Predictor	P value	
		Univariate	Multivariate
2016; Seesing	R0 resection	0.01	0.02
	Preoperative TKI	0.02	–
2015; Brudvik	Resection + TKI vs. resection alone	0.013	–
	Age <55	0.027	–
2014; Cananzi	R0 vs. R1/R2	0.001	–
	TKI progression group vs. response group	0.043	–
2012; Turley	Postoperative TKI	0.019	0.006
	Extra-hepatic disease	0.012	–
2010; Xia	Resection + preoperative imatinib (poor responders) vs. non-operation	0.04	–

TKI, tyrosine kinase inhibitor.

metastases and sarcomatosis; 34 patients underwent hepatectomy for single hepatic metastases; 14 of them received preoperative TKI therapy (8 imatinib and imatinib and/or sunitinib). The effectiveness of this study in evaluating the potential benefits of surgery was limited by the lack of survival outcomes of resected patients.

Xia *et al.* reported 39 patients in a randomized trial (23); 19 of them (the operation group) received a combination of surgical resection with preoperative (6 months) and postoperative (2–4 weeks) oral imatinib. The non-operation group included the remaining 20 who received imatinib as the only treatment. A subgroup analysis based on the extent of response to TKI therapy was conducted to evaluate survival among the two groups.

Gomez *et al.* included 12 patients aged 32–78 years in a retrospective single center trial (20). Preoperatively four received imatinib for a median period of 13.5 months. Two of them developed recurrences and were treated with TKI (imatinib) postoperatively. Imatinib was also administered to all other patients with recurrences (n=8). Clinicopathological features of patients with disease free survival greater than and under 2 years were evaluated.

Shima *et al.* described 10 patients treated with hepatectomy for GIST LM (21). No significant differences were reported for median survival based on timing, number, and size of hepatic metastases. Mortality and survival rates were listed.

Finally, Maehara *et al.* presented a series of four patients who underwent hepatic resection after gastric GIST (24). In addition to the limited number of enrolled patients, data on survival outcomes were limited to mortality and

median survival.

#### *Postoperative mortality and complications*

Results addressing postoperative mortality were analyzed in seven studies (14,17,18,20,22,24). Two recorded no postoperative deaths after metastasectomy (14,17). In the remaining five studies, one patient was not alive in the immediate interval following surgery (18,20,22,24). Eight studies addressed postoperative morbidity for both surgical and non-surgical complications (14,16–18,20–23). Seesing *et al.* detected 15 patients with postoperative complications, three of which required reoperations for bleeding and bile leakage (14). Gomez *et al.* had six patients with postoperative complications. Four had intra-abdominal collections and two wound infections (20). Vassos *et al.* and Shima *et al.* noted only one patient each with postoperative complications, a pleural effusion and hearth failure, respectively. Cheung *et al.* described no complications (16,17,21). Xia *et al.* had complications in four patients in the operation group, none of which required reoperations (23). Finally Turley *et al.* and Cananzi *et al.* had complications in 13 (33%) and 3 (27.3%) patients, respectively. Among them, four and two patients respectively suffered from severe complications (Clavien-Classification III–V) (18,22).

#### **OS**

Eight studies reported OS rates (14–18,20,22,23). Concerning

1-year OS, Cheung *et al.* and Xia *et al.* reported 100% 1-year OS (17,23). Seesing *et al.* and Turley *et al.* consistently presented similar OS rates (93% and 96.7%, respectively) (14,18). Cananzi *et al.* reported a somewhat inferior rate of 80.8% (22). Six studies reported results for 3-year OS (14-18,23). In the studies of Seesing *et al.* and Xia *et al.*, the 3-year OS was 80% and 89.5% respectively (14,23). A reduced 3-year OS was found by Brudvik *et al.*, Vassos *et al.*, Turley *et al.* and Cheung *et al.* (72.7%, 75%, 75% and 67.9%, respectively) (15-18). With respect to 5-year OS, Gomez *et al.* reported a 91% rate. The corresponding rates for Seesing *et al.*, Cheung *et al.* and Brudvik *et al.* were 76%, 50%, and 55.3%, (14,15,17,20). A median OS interval of 41.8 months was calculated based on data retrieved from six studies (15,16,18-21).

### DFS and recurrence rates

Five studies monitored DFS among patients who underwent liver metastasectomy from GIST. Similarly, in the study of Seesing *et al.* the interval among liver metastasectomy and recurrence or progression of disease was defined as progression-free survival (PFS). The 1-year DFS was 87.5% in the study by Cananzi *et al.* (22). Rates of 63.4% and 70% were observed by Cheung *et al.* and Turley *et al.*, respectively (17,18). Three studies reported 3-year DFS of 39%, 42% and 26.1% (15,17,18). Five-year DFS was 14%, 10% and 11% according to Cheung *et al.*, Gomez *et al.* and Shima *et al.*, respectively (17,20,21). Gomez *et al.* found no significant clinico-pathological features associated with disease-free intervals greater than or under 2 years (20). Seesing *et al.* reported 1-, 3- and 5-year PFS of 93%, 67%, and 59%, respectively and a median PFS of 89 [1-121] months. A median DFS of 17.9 [17-43] months following resection was calculated based on data retrieved from four studies.

Seven studies included recurrence rates (16-22). A total of 63 out of 129 patients presented with recurrent disease after liver metastasectomy. Vassos *et al.* and Gomez *et al.* mentioned that recurrences occurred in two and eight patients respectively and were treated with surgery and/or RFA (16,20). Seven of ten patients in the study of Cheung *et al.* developed tumor recurrence (17). In the same study, none of the risk factors examined as predictive of recurrence (such as age, mitotic activity and tumor characteristics) reached significance. Shima *et al.* and Cananzi *et al.* recorded similar recurrences (three and four patients, respectively) in the peritoneum and/or the liver (21,22). Finally, 23 and 16 patients were diagnosed with recurrent disease after liver metastasectomy by Turley *et al.* and de la Fuente *et al.* (18,19).

### Predictors of postoperative outcome

Table 3 shows outcome predictors as demonstrated by the included studies. R0 resection was reported as the most discriminating variable associated with a positive outcome in patients with liver metastasis from GIST who underwent any kind of liver resection. Particularly, in the study of Seesing *et al.* multivariate analysis revealed a significant improvement of OS in patients who underwent R0 resection (P=0.02) (14). By univariate analysis, TKI therapy administered preoperatively was significantly related to improve OS. Nevertheless, multivariate analysis found no significant difference in OS among patients who received TKI therapy (either preoperatively and/or postoperatively) and those who were treated with surgery alone. Cananzi *et al.* reported a significantly improved survival for R0 *vs.* R1/R2 resections (P=0.001) (22). In the study of Brudvik *et al.* a combination of resection and TKI therapy with imatinib was found to be significantly superior to surgery alone. Univariate analysis found only age greater than 55 years to be a significant predictor of poor outcome (P=0.013) (15). Multivariate analysis by Turley *et al.* defined postoperative TKI and extrahepatic disease as significant confounders of OS (P=0.006 and P=0.012, respectively) (18). Finally, in the study of Xia *et al.* a significantly improved survival was found in patients who underwent resection and TKI therapy when compared to those who did not have surgery (P=0.04) (23).

### Discussion

The treatment strategy for GISTs has undergone a major evolution during the last 15 years as a result of TKIs. The introduction of these targeted agents has remarkably improved survival rates even in the setting of metastatic disease. Surgical resection of LMs from GISTs had historically been considered as a palliative measure (11). In the past, patients with LM from sarcomas treated with surgery alone had median survivals of 37-39 months (10,12). Recent studies however, have highlighted the beneficial effect of surgical resection in the treatment of metastatic GISTs in combination with TKI administration, reporting 5-year survival rates as high as 91% (14,20).

Poor initial response and acquired resistance associated with chronic use constitute important limitations of imatinib (25). Notably, acquired resistance to imatinib has been reported in almost half of patients after 18 months (6). A recent multicentric study demonstrated that patients

who underwent surgery for metastatic GIST disease after prolonged preoperative administration of TKIs had worse outcomes than those who received short neoadjuvant TKI treatments (26). Although the authors remarked that such results could be explained by a selection bias of patients with less desirable responses, they reached the conclusion that surgical resection confers maximum benefit only when undertaken within a short “window of opportunity” (26).

The evaluation of predictive factors has also been problematic due to the small volume of patients and the differences in therapeutic approaches used during the years. Reported predictive factors include surgical resection, R0 resection, age <55 years, clinical response to TKIs, pre- and post-operative administration of TKIs (14,15,18,22,23). The most recent study by Seesing *et al.* reported an R0 resection rate of 56% and its significant prognostic relevance by both uni- and multivariate analyses (14). Brudvik *et al.* as well as Turley *et al.* reported R0 resection rates of 96% and 92% respectively, but failed to evaluate the actual influence of R0 resections as a predictor of OS (15,18). Although the study by de la Fuente reported an R0 resection rate of 59% that could have potentially provided valuable information on its prognostic value, the authors did not proceed with a specific analysis (19). Of note, a recently published large series of patients with metastatic disease from large-volume European centers also highlighted the importance of R0 resections. R0/R1 *vs.* R2 surgeries had a median OS of 8.7 *vs.* 5.3 years respectively (P=0.001), resulting in a survival benefit of approximately 3 years (25). The results of these studies highlight the importance of a step-wise approach with neo-adjuvant TKIs followed by complete surgical resections. The characteristics of patients who could potentially benefit from a debulking approach based on either poor/no response or from progression of disease still remain to be determined.

A previous review by Zalinski *et al.* proposed a combination of TKI treatment and hepatic resection, highlighting the importance of neoadjuvant TKI therapy in the selection of patients suitable for resection (27). Similar observations were reported in the review by Ye *et al.* who described an increased possibility of R0 resections with the introduction of TKI therapy (28). Finally, another recent review reported that patient selection in combination with timing of resection based on the outcomes of TKI treatment constitutes the most crucial factors in the management of GIST patients with liver metastasis (29).

The great heterogeneity in the studies considered, combined with the small number of patient described,

prevented us from reaching further conclusions. Surgical resection combined with TKIs in the adjuvant and neo-adjuvant setting seems to constitute the most efficient treatment. Further well-designed prospective randomized controlled studies are needed to determine which patients with metastatic disease from GISTs will benefit from this multidisciplinary approach as well as to define the optimal sequence and duration of treatment.

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## Footnote

*Conflicts of Interest:* The authors have no conflicts of interest to declare.

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