

# Personalized and precision medicine: integrating genomics into treatment decisions in gastrointestinal malignancies

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**Abstract:** The advent of next generation sequencing (NGS) technologies has advanced our understanding of the intrinsic biology of different gastrointestinal (GI) tumor types. The use of novel, more efficient sequencing platforms has improved turnaround times of sequencing results. This is providing real time opportunities to put precision medicine to the test. A number of early phase clinical trials are testing targeted therapies in unique molecularly characterized subsets of patients (baskets). While basket studies are gaining momentum, treatment failures serve to remind us that shifting from a histology-driven to a histology-agnostic approach is unlikely to be a failure-free strategy for a number of tumor types as recently learnt from vemurafenib failure in *BRAF* mutated metastatic colorectal cancer (mCRC). GI malignancies are clinically and molecularly heterogeneous. Unfortunately, development of biomarkers of response to therapy as well as targeted therapies for GI adenocarcinomas has fallen behind compared to other malignancies. Trastuzumab is the only FDA approved targeted therapy for GI adenocarcinomas for which a biomarker of response (*HER2* amplifications) is available. In addition, *RAS* mutations are known to predict lack of response to epidermal growth factor receptor (EGFR) inhibitors in advanced colorectal cancer (CRC) patients. However, NGS has recently revealed that a number of actionable genetic aberrations are present at low prevalence across different GI malignancies. Prospective randomized clinical trials will determine whether matching actionable aberration with targeted therapy will contribute to improve survival in patients with GI malignancies. Here, we review current evidence for targeted therapies in GI malignancies, as well as application and pitfalls of NGS including tissue testing and liquid biopsies.

**Keywords:** Next generation sequencing (NGS); targeted therapies; precision medicine

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## Introduction

Cancer is a disease of the genome. In the past, limited insight into cancer biology hampered our understanding of the biology of disease and the development of targeted therapies. The advent of deep sequencing technologies has

brought us to an unprecedented point in cancer history. The use of different sequencing platforms has facilitated identification of actionable genetic aberrations in different tumor types. This has fueled a number of clinical trials that target unique subsets of patients (baskets). Tumor heterogeneity is critical to understand response/resistance

to therapy. Tumor heterogeneity is found not only among different patients (interpatient heterogeneity) but also among different sites of disease within the same patient (intrapatient heterogeneity) or even within a specimen from a unique site of disease (intratumoral heterogeneity). Still, the specific biological context in which genetic aberrations are found is relevant to the efficacy of a targeted therapy. This is illustrated by the failure of BRAF or PI3K inhibitors in *BRAF* or *PIK3CA* mutated metastatic colorectal cancer (CRC). In this context, the presence of epidermal growth factor receptor (EGFR) feedback loop or co-existing *KRAS* mutations may contribute to explain treatment failure. While basket studies are gaining momentum, failures remind us that shifting from a biology agnostic (histology-driven) approach to a histology-agnostic approach is unlikely to be a failure-free strategy for a number of tumor types.

### Rationale for precision medicine

Although the terms personalized medicine and precision medicine are used interchangeably, differences exist between them. Personalized medicine is an older, broader term born from the Human Genome Project and refers to customization of treatment on the individual patient level (1). Precision medicine is a contemporary term that describes the utilization of molecular diagnostics to classify disease, and where possible, delivery of select treatment based on causal genetic variants (1). Current day molecular characterization of disease using next generation sequencing (NGS) enables a sensitive and specific diagnosis established by genotype. Correlating essential genotype with disease-modifying genes, environmental influences, and individual polymorphisms may help explain variations in phenotype (2).

The integration of genomics into clinical practice is transforming treatment paradigms. Identification of oncogenes and tumor suppressor genes can become the stimulus for rational design of novel, selective drugs that execute specific activity directed at underlying genetic aberrations. This is best exemplified by the success of imatinib in chronic myelogenous leukemia (3). Precision medicine has implications for furthering our understanding of cancer biology, explaining treatment-related failures and drug resistance, and guiding treatment planning. Multi-regional sequencing of tumors has revealed that malignancies exhibit genetic heterogeneity (4). Large-scale genomics projects have demonstrated that several molecular

subtypes can exist within a single-tissue cancer type (5). Interrogation of these subtypes has revealed clinically important findings. One example is the identification of somatic mutations in exons 18–21 of the *EGFR* gene that are associated with sensitivity to tyrosine kinase inhibitor gefitinib (6). The existence of these somatic mutations sheds light on the observed gefitinib response in a subgroup of patients with non-small cell lung cancer (NSCLC) (7). In addition, this suggests there are inherent differences in *EGFR*-associated mutations in NSCLC compared to *EGFR*-associated deletions in gliomas where gefitinib has not demonstrated clinical activity (8). Similarly, *BRAF* mutations are predictive of response to BRAF inhibitors in patients with melanoma. However, single agent BRAF inhibitors have failed to show activity in *BRAF* mutated CRC, likely due to the release of a feedback loop through EGFR.

Tumor heterogeneity is likely to be underestimated and insufficiently captured with single tumor-biopsy analysis. Similarly, single biomarker-driven therapy may not be adequate, suggesting that targeting ubiquitous gene alterations may lead to better cancer control and patient outcomes (4).

In addition to transforming clinical practice and treatment landscapes, precision medicine is changing our perspective on the utility of clinical trials. Phase I trials were traditionally designed to evaluate drug dosing and establish safety. Now they also represent opportunities to exercise careful selection of patients who, based on genomic profiling, may benefit from biomarker-driven therapy. This finer method of identifying candidate patients for novel, genomically guided treatment offers a potentially more favorable benefit-to-risk profile (9).

### The promises and challenges of matching genetic aberrations and targeted therapy

The integration of precision medicine into oncology has advanced research endeavors and clinical practice. Matching actionable aberrations with targeted therapy has led to survival improvement in different tumor types. Success stories offer hope that a molecular-based understanding of disease bridged with a molecular-driven approach to drug development can precisely match an individual's genetic aberrations with a distinctive targeted therapy. Whether this genomically-guided approach to treatment broadly translates into favorable patient outcomes for patients with gastrointestinal (GI) cancer is still being studied.

A prospective, multi-center pilot study in the U.S. examined the effect of genomically-guided-based treatment on clinical outcomes (10). Among 86 of 106 patients in whom molecular profiling was attempted using immunohistochemistry, fluorescence *in situ* hybridization, or DNA microarray technology, a molecular target was determined in 84 cases (98%). Of these, 66 patients predominantly female with breast, colorectal, ovarian, or other types of rare cancers received genomically-guided treatment. With 18 patients (27%) receiving genomically-guided treatment and meeting the primary endpoint of progression free survival (PFS) ratio  $\geq 1.3$  (patients served as their own controls), it was concluded that a genomically-guided approach is feasible and promising. Study limitations included possible ascertainment bias from frequency of tumor evaluation influencing the PFS ratio, lack of randomization, and attrition bias.

In another study that reported on a single-institution's experience with a personalized medicine program in the setting of phase I trials, the clinical outcomes of 175 patients with genomically-guided treatment were compared with those of 116 consecutive patients without genomically-guided treatment (11). The top three cancers were melanoma (73%), thyroid (56%), and CRC (51%). The top three molecular aberrations were *RET* (56%), *TP53* (37%), and *KRAS* (18%). Among patients with one molecular aberration, genomically-guided treatment improved overall response rate (RR) (27% *vs.* 5%,  $P < 0.0001$ ), prolonged time-to-treatment failure (5.2 *vs.* 2.2 months,  $P < 0.0001$ ), and increased survival (13.4 *vs.* 9.0 months,  $P = 0.017$ ). This study showed benefit of therapy matched to molecular aberration. Limitations included the retrospective study design, absence of randomization, treatment response from a targeted agent combined with cytotoxic therapy, and most importantly, results were predominantly driven by patients with *BRAF* mutated melanoma (12).

More recently, a multi-center study in France randomized adult patients with metastatic solid tumors refractory to standard of care to either treatment with a genomically-guided targeted agent (GGT,  $n=99$ ) or treatment by physician's choice (control,  $n=96$ ). Targeted agents included erlotinib, lapatinib plus trastuzumab, sorafenib, imatinib, dasatinib, vemurafenib, everolimus, abiraterone, letrozole, or tamoxifen. The primary endpoint was median PFS. After a median follow-up of 11.3 months in both cohorts, no significant difference in PFS was observed: 2.3 months in the GGT group *vs.* 2.0 months in the control group ( $P=0.41$ ). No difference in grade 3–4

adverse events was seen between both groups ( $P=0.30$ ) (13). Overall, this study demonstrated that genomically-guided treatment is not superior for benefit in PFS compared to physician-choice treatment. These results bring into question the validity, utility, and value of genomically-guided personalized medicine in an era where NGS is increasingly being utilized. It should be pointed out that these negative results may stem from suboptimal drug selection per the study's treatment algorithm.

A first-of-its-kind precision medicine trial is the National Cancer Institute (NCI) MATCH study (14). This trial has national reach and is most unique in its basket trial approach to treatment: it is purely based on genetic aberration. Thus, a patient with a given genetic abnormality may be matched to a precision medicine therapy regardless of malignancy. Another prospective precision medicine clinical trial is the Targeted Agent and Profiling Utilization Registry Study (TAPUR) available at several cancer center networks throughout the U.S. (NCT02693535). Although both innovative NCI MATCH and TAPUR studies are investigating molecularly-targeted cancer drugs and evaluating resultant clinical outcomes, they differ by geographical access to therapy, range of targeted therapies offered, and endpoints. Nevertheless, both studies evince the growing number of promising targeted oncology agents and the urgency to find more efficient ways to study these agents. Conversely, the underlying molecular reasons for treatment response, particularly to chemotherapy, are being studied through the NCI Exceptional Responders Initiative (15). Exceptional responders are defined as those having a complete response to therapy, those who have a partial response lasting  $>6$  months or those who respond to a therapy for which the RR is less than 10%. This study approach can potentially identify key molecular characteristics of tumors associated with exceptional response. An important limitation of this study is that a report with actionable information is not returned to the treating physician.

## Molecular profiling

### NGS technologies

NGS refers to a group of technologies that can massively parallel sequence millions of nucleotide templates, which is the evolution of the first-generation dideoxy "Sanger" sequencing. The huge impact of NGS technologies on genetics and clinical medicine in the past several years

**Table 1** Comparison of commercial next generation sequencing (NGS) platforms

Company	Platform	Output	Read length (bp)	Run time	Potential applications
Illumina	MiniSeq	Up to 7.5 Gb, 25 million reads	2×150	4–24 hours	Targeted gene panels, amplicon and targeted RNA sequencing
	MiSeq	Up to 15 Gb, 25 million reads	2×300	5–55 hours	Targeted gene panels, amplicon, and small genome sequencing
	NextSeq	Up to 120 Gb, 400 million reads	2×150	12–30 hours	Everyday genome, exome, transcriptome, targeted gene panels sequencing, and more
	HiSeq	Up to 1,500 Gb, 5 billion reads	2×150	1–6 days	Production-scale genome, exome, transcriptome sequencing, and more
	HiSeq X	Up to 1,800 Gb, 6 billion reads	2×150	<3 days	Production-scale human whole genome sequencing
Life technologies (ThermoFisher)	PGM	Up to 2 Gb	Up to 400	<1.5 hours for 100-base runs	Targeted gene panels, amplicon, targeted RNA and microbial sequencing
	Proton	Up to 10 Gb	Up to 200	~2 hours for 100-base runs	Microbial, exome, transcriptome, targeted gene panels, and RNA sequencing
	S5	Up to 15 Gb	Up to 400	2.5 hours for 200 bp; 4 hours for 400 bp	Microbial, exome, transcriptome, targeted gene panels, and RNA sequencing

has been described in several reviews (16–18). The high throughput of NGS has not only the capacity to produce an enormous amount of sequence data at much lower cost per base pair, but also to comprehensively detect all classes of genomic alterations including single nucleotide variation (SNV), short insertion/deletion (indel), copy number variation (CNV) and gene fusion/genomic rearrangement structure variation (SV) by testing on one sample. The most common platforms for NGS include Illumina products (HiSeq/MiSeq/NextSeq/MiniSeq) and Life technologies products (Ion PGM/Proton, ThermoFisher). The major NGS platform from Illumina uses the sequencing-by-synthesis (SBS) method for sequencing (19,20). SBS is an optical technology with distinct fluorescently labeled nucleotides to visualize the synthesized strand. In contrast, Ion PGM/Proton is semiconductor-based belonging to non-optical technology (21). The Ion semiconductor-based technology also uses SBS, where sequencing is performed in microscopic wells interfaced with a semiconductor chip. Instead of detecting optical signals, the semiconductor chip measures the change in pH caused by the protons released from the 3'-OH group during formation of phosphodiester bonds. Despite the numerous research and clinical applications reported on the Ion platform, it has relatively higher false positive rates and lacks the capability for long repeat sequencing detection compared with Illumina platforms (Table 1) (22–25).

### *Clinical application of NGS*

NGS shows a significant impact on diagnosis, management, and treatment of cancer. NGS costs less than Sanger sequencing for multi-gene panels and performs better on mutations with low mutant allele frequency (26–29). The Cancer Genome Atlas (TCGA) projects, which took almost 10 years to finish using targeted sequencing, catapulted our understanding of the molecular basis of cancer and also provided many potential therapeutic targets. According to the sequencing results, many well-known actionable driver genes such as *EGFR* were demonstrated in multiple cancer types besides those previously characterized. Many cases were also reported to have actionable mutations that showed response to targeted therapies (30,31).

### *NGS testing can be performed on tumor tissue or blood*

NGS testing can reveal somatic variation due to tumor heterogeneity. Therefore, not all tumor cells may carry a particular somatic genetic variation requiring higher sensitivity for the sequencing technologies and biologic samples with a high content of tumor (generally 20%). Thus, multi-gene panels with ultra-deep coverage may be more suitable in oncology than whole exome sequencing. Blood is a convenient sample source and usually referred to as a “liquid biopsy” for isolating circulating tumor DNA (ctDNA) shed into systemic circulation from primary

**Table 2** Advantages and disadvantages of clinical diagnostics with FFPE and blood ctDNA detection

Sample type	FFPE	Blood/ctDNA
Reliable variation types detected by NGS	SNV; indel; CNV; fusion	SNV; indel; fusion
Sensitivity	Moderate, variation with 1% mutant allele frequency	High, variation with 0.1% mutant allele frequency
Cost	Moderate	High
Sample collection	Difficult	Easy
Genes numbers	Multi-gene panel or with 400–500 genes	Multi-gene panel with less than 100 genes, or amplicon panels
Cancer types and stages	All solid tumors and select hematologic malignancies. All stages	Advanced cancers (stage IIIB to IV) with select cancer types such as lung, breast or colon cancer

FFPE, formalin fixed paraffin embedded; ctDNA, circulating tumor DNA; NGS, next generation sequencing; SNV, single nucleotide variation; indel, short insertion/deletion; CNV, copy number variation.

and metastatic disease sites. Blood is a desirable sample source due to the ease of attainment, as many patients have disease that is not amenable or safe to biopsy. Studies have demonstrated a high consistency of results from ctDNA compared to tissue testing; however, continued correlative studies are necessary (32). Blood also allows for serial sampling to detect mutations present at diagnosis and those that are acquired during the disease course or treatment. Serial ctDNA sampling may allow for earlier detection of drug resistance and also aid in monitoring for disease response. It is unclear that liquid biopsies will have an impact in disease management other than for patients with lung cancer. For instance, a recent study showed that less than 44% (74/168) of patients who underwent liquid biopsies had potentially actionable aberrations (33). More importantly liquid biopsies only influenced the treatment of two patients with NSCLC and one patient with breast cancer for which *EGFR* and *PIK3CA* mutations had already been identified in tissue. In addition, there are a number of limitations that need to be considered. Genetic aberrations found in liquid biopsies in elderly patients may originate from undiagnosed myelodysplastic syndromes rather than the suspected tumor. This was recently shown in a patient with metastatic pancreatic cancer where a *JAK2* mutation was found in liquid biopsy, not previously described on TCGA and not identified on tumor tissue (34). Furthermore, the role of liquid biopsies in monitoring response to therapy remains to be validated. In the absence of disease progression by Response Evaluation Criteria In Solid Tumors (RECIST) there is no evidence to support switching therapies based on increased allele copy number of a given mutation. Currently, there are few publications on multi-gene panel sequencing for ctDNA,

yet there is potential direction for the clinical application of NGS technologies. More details about tissue and ctDNA detections are shown in *Table 2* (35,36).

### Integrating genomics into treatment decisions in GI malignancies

As we navigate the intricacies of the human genetic blueprint and its role in cancer pathogenesis, one of the goals is to find genomic alterations that may have therapeutic potential. Among the 12 anti-cancer medications approved in 2015, more than half were classified as precision therapies (37). Thus, molecular drivers of cancer will continue to be the foundation for future investigations, new therapies, and new indications for existing therapies. Here, we summarize the current literature on genomics in GI malignancies.

#### *Cholangiocarcinoma (CCA)*

CCA arises from bile duct epithelium. It is the second most common hepatic malignancy worldwide and the fifth leading cause of death by cancer in US (38,39). This is a heterogeneous group of diseases that includes gallbladder cancer, intrahepatic CCA (IHCCA), and extrahepatic CCA with diverse molecular profiles and clinical course. Currently, the role of molecular profiling in CCA is not established. Since up to two-thirds of patients with CCA may harbor genomic alterations associated with targeted therapies, a molecularly-driven approach is promising (40-42). In IHCCA, *IDH1/IDH2* mutations are unique (20%) and *fibroblast growth factor receptor (FGFR)* translocations (10%) are common. *HER2* amplifications are more prevalent in extrahepatic cholangiocarcinoma

**Table 3** Current basket trials for CCA with *IDH* or *FGFR* mutation\*

Mutation	Investigational agent	Phase of study	Clinical trial No.
<i>IDH1</i> or <i>IDH2</i>	Dasatinib	Phase II	NCT02428855
<i>IDH1/2MT</i>	Metformin and chloroquine	Phase Ib	NCT02496741
<i>IDH1</i> or <i>IDH2</i>	AG-881	Phase I	NCT02481154
<i>IDH1</i>	AG-120	Phase I	NCT02073994
<i>IDH2</i>	AG-221	Phase I/II	NCT02273739
<i>IDH1 R132</i>	IDH305	Phase I	NCT02381886
<i>IDH1 R132X</i>	BAY1436032	Phase I	NCT02746081
<i>FGFR</i>	BGJ398	Phase II	NCT02150967
<i>FGFR</i>	ARQ 087	Phase I/II	NCT01752920
<i>FGFR</i>	JNJ-42756493	Phase IIa	NCT02699606
<i>FGFR</i>	BLU-554	Phase I	NCT02508467
<i>FGFR</i>	INCB054828	Phase I/II	NCT02393248

\*, accessed from [www.clinicaltrials.gov](http://www.clinicaltrials.gov) on June 7, 2016. CCA, cholangiocarcinoma; IDH, isocitrate dehydrogenase; FGFR, fibroblast growth factor receptor.

and gallbladder cancer compared to IHCC (11–16% *vs.* 3%) (43–45). The development of therapeutic agents are directed at several key pathways, including tyrosine kinases, mutant isocitrate dehydrogenase (IDH) enzymes, PI3K-AKT-mTOR pathway, and chromatin remodeling networks (46,47). Of growing interest is the *IDH* gene, which has been described as the first gatekeeper in carcinogenesis (48). The *IDH* mutation is prevalent in intrahepatic HCC and affects “oncometabolite” 2 hydroxyglutarate involved in regulating histone methylation and DNA modification (45,48,49). *In vitro* studies have shown that mutant *IDH* can suppress hepatocyte differentiation and promote development of IHCCA (50). Increased levels of *TP53* and abnormal levels of DNA methylation are common features of mutations in *IDH1* (codon 132) and *IDH2* (codon 140 and 172) (48). Although it is unknown if mutant *IDH* is required for tumor maintenance, it may nevertheless be a potential therapeutic target for patients with this distinct genetic subtype (50). The prognostic role of *IDH1/2* mutations is conflicting with some reports demonstrating no prognostic significance and others associating *IDH* with longer survival. These discrepant findings warrant further study and validation (45,46,49,51). Presently there are a few trials in solid tumors, predominantly in phase I development, examining agents for *IDH* mutations (Table 3). ClarIDHy is a placebo-controlled, randomized phase III

study testing AG-120 in patients with *IDH1* positive CCA that has progressed to gemcitabine or 5-fluorouracil. The primary endpoint is PFS (52).

Different classes of IHCCA based on molecular profile have been proposed, suggesting that different treatment paradigms may be needed (53). Another promising targetable genomic alteration is the *FGFR* mutation present in 14% to 45% of IHCCAs (54). *FGFR2* kinase fusion genes, *FGFR2-AHCYL1* and *FGFR2-BICC1* relevant to cancer transformation by activation of the MEK pathway, were detected using whole genome and transcriptome analyses (40,55–57). Overexpression of *FGFR* induces cell proliferation. Treatment of *FGFR* fusion-positive lines in bladder cancer cells *in vitro* with *FGFR* inhibitors PD173074 and multi-targeted TKI pazopanib demonstrated sensitivity and inhibition of cell proliferation (57). In a phase I study JNJ-42756493, another investigational pan-*FGFR* inhibitor, exhibited clinical activity in advanced solid tumors (58). Most recently in a phase II study, pan-*FGFR* inhibitor BGJ398 demonstrated an overall disease control rate of 82% in patients with advanced *FGFR*-altered CCA (*FGFR2* fusions or other *FGFR* aberrations) who had progressed on cisplatin and gemcitabine chemotherapy. Noteworthy yet manageable side effects included hyperphosphatemia (an on-target class side effect), fatigue, constipation, cough, and nausea (59). Current investigations of *IDH* and *FGFR* mutations will hopefully translate into the development of effective targeted therapies (Table 3).

### CRC

Cancer of the colon and rectum ranks in the top three newly diagnosed cancers and cancer-attributed deaths worldwide (60,61). Pivotal studies of anti-EGFR therapy (cetuximab, panitumumab) independently and unequivocally have demonstrated that patients with metastatic *KRAS*-mutated CRC do not benefit from these therapies (62,63). In addition, retrospective analysis have shown lack of benefit in tumors harboring any *RAS* family mutation. Current guidelines support expanded *RAS* testing (*KRAS* and *NRAS* exon 2, 3, and 4) in metastatic CRC (64). Thus, testing of *RAS* has important treatment implications. Patients with mutation in *RAS* genes should not be treated with EGFR antagonists (65). In addition, cetuximab and panitumumab have been shown to be suboptimal therapies in *NRAS*-mutated CRC (66). Given the negative predictive value of *RAS* mutations, the goal of genomic testing for *RAS* testing is to identify patients (wild-type) who will most likely have

**Table 4** Summary of clinical trials examining dual blockade of BRAF and EGFR in *BRAF*-positive metastatic colorectal cancer\*

Study	Description	Primary endpoint
SWOG S1406 (NCT02164916)	Phase II RCT comparing combination cetuximab and irinotecan with and without vemurafenib	PFS
LGX818, cetuximab, BYL719 (NCT01719380)	Phase Ib/II open-label, dose escalation study of LGX818 with cetuximab or LGX818 with cetuximab and BYL719	Incidence rate of DLT, PFS
BRAF/MEK/EGFR inhibitor combination (NCT01750918)	Phase Ib/II open-label, dose escalation study of trametinib/dabrafenib with panitumumab versus FOLFOX	Adverse events, RR, PFS
Vemurafenib and panitumumab combination therapy (NCT01791309)	Pilot study of combination vemurafenib and panitumumab	ORR
WNT974 in combination with LGX818 and cetuximab (NCT02278133)	Phase Ib/II open-label, dose escalation study of triple combination WNT974, LGX818, and cetuximab	Incidence rate of DLT, ORR

\*; accessed from www.clinicaltrials.gov on June 7, 2016. EGFR, epidermal growth factor receptor; SWOG, Southwestern Oncology Group; RCT, randomized controlled trial; PFS, progression free survival; DLT, dose limiting toxicity; RR, response rate; ORR, objective response rate.

a favorable benefit-to-risk profile from treatment with cetuximab or panitumumab.

In the RAS/RAF/MEK/ERK signaling pathway, BRAF lies downstream from KRAS and requires phosphorylation to become activated. The role of BRAF as a negative predictor of response to anti-EGFR therapy has not been consistently evidenced across randomized clinical trials. Still, some studies indicate that patients with *BRAF V600E*-mutated metastatic CRC do not respond to anti-EGFR therapy, and none of the patients who responded to either of these treatments had *BRAF* mutations (67-69).

However, retrospective studies suggest clinical benefit with cetuximab in patients with *BRAF V600E*-mutated metastatic CRC, which is typically associated with poor prognosis (70). Thus, while BRAF is a strong prognostic marker, its role as a predictive marker remains unclear. Additionally, vemurafenib (BRAFi) failed to show activity as single agent in *BRAF* mutated metastatic colorectal cancer (mCRC) (71,72). Intriguingly, studies *in vivo* and *in vitro* have demonstrated that BRAF inhibition disrupts a negative feedback loop through EGFR, which subsequently promotes cellular proliferation. Based on this proposed mechanism, patients with *BRAF V600E*-mutated metastatic CRC may benefit from combination therapy consisting of both a BRAF inhibitor and an EGFR inhibitor (73). Currently, ongoing studies are examining dual blockade of BRAF with vemurafenib and EGFR with cetuximab plus irinotecan in patients with *BRAF V600E* mutated advanced CRC (NCT02164916) (Table 4) (74). Recent report from SWOG 1406 indicates that the addition of vemurafenib to irinotecan and cetuximab versus irinotecan and cetuximab produced greater median PFS (4.4 *vs.* 2.0 months), RR (16% *vs.* 4%),

and disease control rate (67% *vs.* 22%,  $P < 0.001$ ) (75). Other mechanisms that may be contributing to the resistance phenomenon with anti-EGFR therapies include *HER2* and *mesenchymal epithelial transition (MET)* aberrations. *HER2* mutation and amplification is reported in 7% of CRC (76). Overexpression of *HER2* has been implicated in resistance to anti-EGFR therapy via aberrant MEK-AKT pathway activation (77). Dual *HER2* inhibition with trastuzumab and lapatinib in patients with *HER2*-amplified, *KRAS* wild-type metastatic CRC who are resistant to standard therapies, including EGFR-targeted agents, demonstrated that dual *HER2* blockade can produce objective responses (78). These findings suggest a need for continued exploration in order to find viable treatment options for *HER2*-positive CRC (78). Amplification of the *MET* proto-oncogene (*MET*) is another potential mechanism that leads to acquired resistance in tumors that do not develop *KRAS* mutations during anti-EGFR therapy (79,80). In addition, patients with advanced CRC with *PIK3CA* mutations have been observed to have worse PFS, suggesting that *PIK3CA* mutations can independently hinder response to EGFR inhibitors (81). However, the independent predictive role of *PIK3CA* has not been consistently observed and the discrepancy may be related to the aberration present in exon 20 (82-84).

The canonical pathway in CRC is wingless-int (Wnt) signaling, particularly involving inactivating mutations of *adenomatous polyposis coli (APC)* or abnormal  $\beta$ -catenin-dependent gene expression (85). More than 80% of colonic adenomas and carcinomas have *APC* gene mutations, where loss of *APC* function leads to constitutive activation of Wnt signaling (86). Therefore, therapies targeted at Wnt

represent a rational and potentially effective approach to treatment of CRC (77,86). Small molecules targeting the cancer stem cells of the Wnt signaling pathway at the extracellular, cytoplasmic, or nuclear levels may have potential as CRC therapies (87). In addition, there may be cross talk between the Wnt and EGFR pathways in tumorigenesis (85). Thus, simultaneous blockade of Wnt (WNT974), EGFR (cetuximab), and BRAF (LGX818) may be an effective combination treatment and is currently being studied (NCT02278133) (88). These studies reflect the complexity of the molecular pathogenesis of CRC. Genomic studies continue to be important not just for revealing potential new therapeutic targets but also to help explain lack of response and mechanisms of resistance to current targeted therapies in CRC.

Lastly, microsatellite instability (MSI-high) appears to confer immunogenicity due to presence of neoantigen-specific tumor infiltrating lymphocytes. Less than 5% of patients with mCRC are considered MSI-high. To counteract this inflammatory microenvironment, MSI-high mCRC tumors selectively upregulate the expression of immune checkpoint molecules, such as PD-1, PD-L1, CTLA-4 and LAG-3 (89). A phase II study evaluating immune checkpoint blockade with pembrolizumab in patients with advanced GI malignancies demonstrated that mismatch-repair status predicted clinical response (90). These results support a genetically-guided approach to immunotherapy in this unique subset. Currently, checkpoint inhibitors approved for different indications as well as novel checkpoint inhibitors (TIM-3, Lag-3, OX40, GITR, 4-1BB, CD40, CD70) are being studied in CRC (91). Recent work showed that MEK inhibition promotes infiltration of effector CD8<sup>+</sup> T cells and synergize with a PD-L1 inhibitor in preclinical models (92). Indeed, a phase I study recently tested cobimetinib (MEK inhibitor) with atezolizumab (PD-L1 inhibitor) in MSI-low mCRC (93). The study showed a promising RR of 17% in this refractory population. These results warrant further evaluation and could open new treatment opportunities for patients with mCRC, the majority of whom have MSI-low tumors.

### **Gastroesophageal cancer (GEC)**

In the U.S., GEC represents 1% of all new cancer cancers and 2.6% of all cancer deaths (94). The 5-year survival rate is 18%. Worldwide gastric cancer ranks among the top five cancers to affect males and females, and esophageal cancer is the 7<sup>th</sup> most common cancer in males (60). Presently, there

are two targeted therapies approved in GEC, trastuzumab and ramucirumab. Trastuzumab is approved as first line treatment combined with cisplatin and capecitabine or 5-fluorouracil. In patients with *HER2*/neu-positive advanced GEC who were treated with trastuzumab and chemotherapy (cisplatin plus fluorouracil or capecitabine), there was an improved overall survival (OS) (median 13.8 vs. 11 months, P=0.0046) compared to patients treated with chemotherapy alone. Addition of trastuzumab was tolerable, and there was no difference in grade 3 or 4 or cardiac adverse events. The majority of patients in this study had gastric cancer (~80%), and post-hoc analysis showed survival benefit with tumors having IHC 3+ or IHC 2+ with FISH amplification compared to those with low expression of *HER2* (95). *HER2* amplifications vary by histology, and appear to be more prevalent in intestinal type than diffuse type (96,97). A prospective study in patients with advanced gastric cancer showed that the level of *HER2* amplification indicated by a *HER2/CEP17* ratio of 4.7 was more likely to predict response to therapy (96).

Four gastric cancer subtypes have been identified through molecular interrogation: Epstein-Barr virus (EBV)-positive type, MSI-high, chromosomally stable [low number of somatic copy number alterations (SCNAs), and chromosomally unstable (high number of SCNAs)] (98). Genomic characterization of gastric carcinoma has revealed that *TP53*, *PTEN*, *CTNNB1*, *ARID1A*, *KMT2C*, and *FAT4* genes are frequently mutated (98,99). *ARID1A* which is involved in chromatin remodeling was highly represented in 83% of gastric cancers with microsatellite instability and 73% of EBV-positive cases (100). Specific to esophageal adenocarcinoma, the top five significantly mutated genes have been reported to be *TP53*, *CDKN2A*, *SMAD4*, *ARID1A*, and *PIK3CA* (101). Comprehensive genomic profiling has revealed that there are more frequent *KRAS* and *ERBB2* genomic alterations in esophageal adenocarcinoma vs. more *PIK3CA*, *PTEN*, and *NOTCH1* alterations in esophageal squamous cell carcinomas (102). Understanding the molecular underpinnings has implications for treatment, including: PI3K inhibitors, programmed cell death targeted therapies, and JAK2 inhibitors for the EBV subtype; PI3K inhibitors, anti-HER3 therapy, and programmed cell death targeted therapies for tumors with microsatellite instability; anti-HER2 or anti-HER3 therapy, VEGF2-receptor antagonist, FGFR kinase inhibitor, and MET TKI for chromosomally unstable tumors (99). In addition, patients with metastatic gastric cancer with low levels of ATM exhibited survival

benefit from the additive treatment effect of olaparib, a PARP inhibitor, combined with second-line paclitaxel (103).

In GEC a promising therapeutic target is the hepatocyte growth factor (HGF) ligand/MET factor receptor signaling axis involved in cell migration, invasion, and angiogenesis. MET expression has been seen in 24% of gastric carcinoma cells. High level MET expression (IHC 3+) is associated with lymph node metastases, more advanced stage of disease, and inferior survival (104). *MET* amplification is estimated to occur in 30% of gastric cell lines and similarly associated with poor prognosis (105-107). *MET* proto-oncogene has been shown to be an independent prognostic factor in gastric carcinoma, and is a potential molecular marker for targeted therapy (107,108). *In vitro* studies have demonstrated that MET kinase inhibitor PHA-665752 causes arrest in cell proliferation and apoptosis in gastric cancer cells with constitutively activated MET whereas gastric cancer cells without constitutively activated MET were unaffected (109). Currently, AMG102 (rilotumumab) and MetMab and PRO143966 (onartuzumab) are under active investigation as MET-targeting agents (56,110-112). New results from a phase III study of AMG102 indicate that patients with advanced GEC treated with AMG102 adjunct to standard chemotherapy did not have improved OS compared to patients treated with chemotherapy alone. As a result, the manufacturer has ceased all phase III studies examining AMG102 in gastric cancer (113). In addition, MetMab added to standard of care in patients with *HER2*-negative, *MET*-positive GEC did not confer survival benefit. Subgroup analysis indicates that non-Asian patients and patients without gastrectomy may benefit from MetMab (114). Overall, it appears that MAB therapy does not work in gastric cancer, although there remains promising data with MET TKI therapy. For example, the observation that MET-independent HER kinase activation is a possible mechanism of MET TKI resistance in MET-amplified tumor cells that co-express EGFR and/or HER-3 is intriguing and suggests that combined pathway inhibition may be required (115). There remain limited treatment options in advanced GEC highlighting further investigation is needed in this area.

### ***Hepatocellular carcinoma (HCC)***

Liver cancer is on the rise, and projected to become the third-most common cancer by 2030 (116). HCC accounts for 90% of liver cancers (117). In the U.S. it is responsible for 2.2% of new cancer cases and 4.2% of cancer-related

deaths. The 5-year survival is estimated to be 17.2% (118). HCC worldwide is among the top ten cancers for both incidence and cancer-related mortality (61). Presently, the only approved molecularly-based therapy in HCC (unresectable) is sorafenib, an oral small multikinase inhibitor of Raf, VEGFR-2 and -3, PDGFR  $\beta$ , c-KIT, fms-like tyrosine kinase (FLT)-3, and rearranged during transfection (RET). In the registry trial, patients with advanced HCC and Child-Pugh A treated with sorafenib 400 mg twice-daily had improved OS (median, 10.7 *vs.* 7.9 months,  $P > 0.001$ ) and time to progression (TTP) (median, 5.5 *vs.* 2.8 months,  $P < 0.001$ ) compared to patients receiving placebo (119,120). In 2011, sorafenib catalyzed almost 200 trials examining 56 different molecular-based therapies (121). Unfortunately, studies in both first-line and second-line settings evaluating other targeted agents or combination therapy with sorafenib have produced disappointing results (*Table 5*). Most recently, a phase III trial demonstrated survival benefit with second-line regorafenib after sorafenib failure when compared to placebo (median 10.6 *vs.* 7.8 months) (122).

The grim prognosis of advanced HCC reflects the aggressive nature of this cancer and the dearth of effective systemic treatments (123). Chemotherapy with fluorouracil, cisplatin, and/or gemcitabine offers modest survival benefit (124). NGS exploration of HCC has revealed genetic and epigenetic alterations, such as methylation, that affect p53/RB, Wnt/ $\beta$ -catenin, PI3K/PTEN/Akt/mTOR pathways (125,126). These pathways are involved in deregulation of oncogene and tumor suppressor genes and ultimately the development and progression of HCC. The most frequent mutations affect *TERT* promoter, *TP53*, *CTNNB1*. Less frequently mutated genes include *AXIN1*, *ARID2*, *ARID1A*, *TSC1/TSC2*, *RPS6KA3*, *KEAP1*, *MLL2* (127). Currently, some of the targeted therapies for HCC being studied in open trials include small molecule MET inhibitors (tivantinib, INC280, cabozantinib, MSC2156119J), STAT inhibitor (OPB-111077), mTOR inhibitors (temsirolimus, MLN0128), and monoclonal antibodies (BIIB022, cetuximab, bevacizumab, pembrolizumab, ramucirumab, nivolumab, TRC105). These investigations will hopefully further address the high unmet need in HCC for therapeutic molecularly-based targeted therapies.

### ***Pancreatic cancer***

Pancreatic ductal adenocarcinoma (PDAC) is the fourth

**Table 5** Negative results from studies of targeted agents in hepatocellular carcinoma

Therapy	Study result	Study citation
Brivanib vs. sorafenib	Brivanib did not meet noninferiority criteria and was less well-tolerated	Johnson PJ, et al. <i>J Clin Oncol</i> 2013
Linifanib vs. sorafenib	Linifanib did not meet noninferiority OS boundaries and safety results favored sorafenib	Cainap C, et al. <i>J Clin Oncol</i> 2015
Vandetanib vs. sorafenib	Vandetanib demonstrated limited clinical activity	Hsu C, et al. <i>J Hepatol</i> 2012
Sunitinib	Pronounced toxicities and only modest antitumor activity in HCC	Faivre S, et al. <i>Lancet Oncol</i> 2009;10:794-800; Zhu AX, et al. <i>J Clin Oncol</i> 2009
Sorafenib with erlotinib	Combination did not improve OS	Zhu AX, et al. <i>J Clin Oncol</i> 2015;33:559-566
Sorafenib with tigatuzumab	Sorafenib with tigatuzumab did not improve TTP	Cheng AL, et al. <i>J Hepatol</i> 2015
Everolimus vs. placebo after sorafenib failure	Did not improve OS	Zhu AX, et al. <i>JAMA</i> 2014
Ramucirumab vs. placebo after sorafenib failure	Did not improve OS	Zhu AX, et al. <i>Lancet Oncol</i> 2015
Axitinib vs. placebo after sorafenib failure	Did not improve OS	Kang YK, et al. <i>Ann Oncol</i> 2015

OS, overall survival; HCC, hepatocellular carcinoma; TTP, time to progression.

most common cause of death from cancer in the U.S. (38). In 2016, 53,070 new cases will be diagnosed and 41,780 patients will succumb to the disease. It is estimated that PDAC will be second only to NSCLC as the leading cause of cancer-related mortality in the USA by 2030 (116). Most PDAC patients present with advanced disease, and therefore they are not candidates for surgical resection. Palliative chemotherapy in these patients leads to modest improvements in survival (128). Erlotinib, an EGFR TKI, is the only targeted therapy approved by the FDA in this disease. However, the survival improvement when erlotinib was added to gemcitabine in a randomized phase III study was negligible (129,130). Phase III studies examining other molecularly-guided agents, axitinib, bevacizumab, cetuximab, ziv-aflibercept, added to gemcitabine-based chemotherapy have demonstrated absence of survival benefit (131-135).

PDAC is characterized by an average of 63 genetic alterations, mainly point mutations, involving 12 core signaling pathways. However, pathway components vary among individual tumors and can further present treatment challenges (136). Whole genome analysis recently identified four subsets of PDAC (137). Tumors in the subset known as “unstable” were associated with a BRCA signature as well as mutations in the DNA repair pathway including *BRCA 1/2*, *PALB2* (partner and localizer of *BRCA2*) and *ATM* (ataxia telangiectasia mutated). This subset was more likely to respond to platinum based therapies. This subset

may also benefit from PARP inhibitors. PARP are nuclear enzymes that regulate repair of DNA breaks through base-excision. PARP inhibitors are synthetically lethal in tumors with defective DNA repair (138). A phase II study of olaparib [poly(ADP-ribose) polymerase (PARP) inhibitor] in patients with solid tumors with germline *BRCA1/2* mutations, showed that 5/23 (22%) patients with PDAC had partial response to olaparib (139). This has led to an actively recruiting phase III study comparing olaparib with placebo in patients with germline *BRCA1/2* mutated metastatic PDAC and stable disease after first-line platinum therapy (NCT02184195) (140). Studies testing novel PARP inhibitors such as ABT-888 (veliparib), AGO14699 (rucaparib), and BMN-673 (talazoparib), are also currently underway (139,141-143).

A mutation of growing interest is tumor suppressor gene *PALB2*. *PALB2* also known as *FANCN*, is most commonly known for its association with Fanconi anemia. In hereditary or sporadic pancreatic cancer (PC), *PALB2* mutation appears sufficient to cause DNA replication and damage response defects (137,144-148). Several independent case studies have validated *PALB2* as a susceptibility gene and actionable therapeutic target (144,149). Overall, two mutations of *PALB2* have been identified as PC-specific: c.508\_509delAG and c.3256C>T (150). *PALB2* is a key player in maintenance of genomic integrity, and its full potential as a therapeutic target has yet to be uncovered.

In addition, a small subset of patients with advanced PDAC present microsatellite instability (<4%) (151). These tumors have deficient mismatch repair and subsequently increased mutation load. A small study recently reported two partial responses among four subjects with MSI-H PDAC. Remarkably, responses were durable (152). Basket studies with checkpoint inhibitors in MSI-H PDAC are planned. NGS has identified actionable aberrations at low prevalence (<5%) in a number of additional genes in this disease including among others: *HER2*, *MET* and *PIK3CA* (152-154). Drugs targeting these aberrations have already been approved for different indications. Basket studies targeting these subsets are warranted.

The study of familial pancreatic cancer has revealed additional opportunities for precision medicine in this disease. For instance, patients with Peutz-Jegher disease harbor a germline mutation in a tumor suppressor gene known as serine/threonine kinase 11 (*STK11*). Loss of *STK11* leads to constitutive activation of the mTOR pathway. Thirty-five percent of subjects with this disease will develop PDAC.

In an interesting case study, a patient with Peutz-Jeghers syndrome-induced PC treated with mTOR inhibitor everolimus achieved partial remission of acinar cell PC and concomitant clearance of large colon polyps (155). Unfortunately a study testing everolimus in patients with Peutz-Jeghers syndrome had to be closed due to poor accrual (NCT01178151). Finally, tissue acquisition remains to be a challenge to fulfill the promise of precision medicine in this disease as many of these patients are diagnosed with fine needle aspirations (FNA) rather than core biopsies. The IMPaCT Individualized Molecular Pancreatic Cancer Therapy (IMPaCT) study recently showed that precision medicine can be implemented in real time in this disease with over 75% of patients having sequencing results available within 4 weeks (146). Future studies will expedite turnaround times and will contribute to elucidate if this strategy will improve outcomes.

## Conclusions

GI malignancies are clinically and molecularly heterogeneous. Actionable aberrations are present at low prevalence across different tumor types. These aberrations define unique molecular subsets within each cancer type. Treating patients with drugs matching the unique genetic aberrations found in their disease has the potential to improve outcomes. However, this will need to be tested in clinical trials. Ultimately, the proof of

concept will be to demonstrate that this precision medicine strategy improves survival. Several challenges will need to be overcome to achieve this. First and foremost, there is a limited availability of clinical trials to enroll patients once an actionable aberration has been identified. For instance, in the IMPaCT study only 1 out of 34 screened PDAC was able to proceed with matched therapy. Allocating resources to coordinate patient travel to a study center close to home is a strategy that has been implemented in the STARTRK-2 study (NCT02568267) (156). Second, appropriate validation of biomarkers of response as well as drug selection are needed. In this regard, we hypothesize that negative results of SHIVA study could be related to drug selection. Third, the use of liquid biopsies, while clearly representing an opportunity to address real-time tumor heterogeneity, needs further validation. In particular, we will need to define if prospective collection of samples such as plasma and urine, to detect early emergence of resistance to therapy, adds value to patient care. The gold standard to evaluate resistance/response to therapy continues to be imaging tests. In this regard it is important to highlight that in the context of ovarian cancer, switching therapies based on increases in Ca-125 did not lead to improved survival (157,158). Also of paramount importance is to realize that not every genetic aberration found in plasma is relevant to the patient disease (see discussion in Molecular Profiling section). Fourth, turnaround times of results need to be expedited. In the MATCH study, it has taken up to 4 weeks to confirm that a genetic aberration is present. This will certainly contribute to select patients, as those who cannot wait this length of time without treatment are unlikely to be included in this study.

In summary, precision medicine is here to stay. Remarkable responses to therapy are reported across different tumor types whenever a biomarker of response is identified for which an active drug is available. We need to learn from our failures (SHIVA, BRAF inhibitors in colorectal cancer) to ensure we serve as patient advocates to identify the best available treatment options for our patients whether these are targeted therapies or not.

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## Footnote

*Conflicts of Interest:* The authors have no conflicts of interest to declare.

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